Exhibit VI.2, Key Business Rules

This exhibit is a list of key business rules linked to the business requirements. The key business rules are intended to provide additional context for the business requirements at a high level. The key business requirements and rules are not intended to be a definitive list for use in designing a solution. Additional detail will need to be identified to further define the solution design boundaries. It is incumbent upon the selected vendor to make sure their discovery process captures all required detailed business requirements and rules necessary to deliver a successful solution.

The Program Specific column in the following exhibit identifies the program that the rule supports. A business rule with no program noted in this column indicates that it supports multiple programs.

Req #	**Requirement**	
Rule #	Key Business Rule	Program Specific
B-1	**The proposed solution must provide the ability to maintain information for organizations.**	
BR-1	Prevent adding an organization with a duplicate organization name.	
BR-2	Prevent retroactive address changes for an organization.	
BR-3	Prevent address changes greater than two years in the future.	
BR-4	Allow multiple organization types such as PERS, Health, and independent medical examiner for an organization.	
BR-5	Prevent organizations with an associated contract from being deleted.	
BR-6	Prevent the deletion of an organization if it has ever had an active contract.	
BR-7	Prevent overwriting address information for an organization.	
BR-8	Prevent adding duplicate health carriers.	Health
BR-9	Prevent the creation of an address effective date with a date prior to the current date.	
BR-10	Allow multiple contacts for an organization.	
BR-11	Allow an organization to contract with multiple CalPERS programs.	
BR-12	Allow multiple contracts for an organization.	
BR-13	Allow multiple contact addresses for an organization.	
B-2	**The proposed solution must provide the ability to maintain a unique identifier for organizations.**	
BR-1	Prevent adding an organization with a duplicate organization name.	
BR-14	Prevent the assignment of more than one unique identifier for an organization.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-3	**The proposed solution must provide the ability to maintain information for multiple contracts of an organization.**	
BR-11	Allow an organization to contract with multiple CalPERS programs.	
BR-15	Allow a set of provisions to be associated with a collective bargaining unit.	
BR-16	Allow an organization to terminate a health contract with CalPERS.	Health
BR-17	Terminate all enrollments associated to a terminated health contract.	Health
BR-18	Add new contracts as pending.	
BR-19	Prevent adding a new medical contract for an organization that has terminated a medical contract for the same group of employees within the last five years.	Health
BR-20	Prevent deleting a contract that has associated participants.	
BR-21	Prevent a contract from becoming active until the group of participants and provisions have been associated.	
BR-22	Prevent the termination of a contract when active participants are associated to it.	
BR-23	Prevent adding a new PERS contract when an organization has terminated a PERS contract within the last three years.	
BR-24	Enroll associated participants automatically when a contract is activated.	
BR-25	Prevent the addition of duplicate provisions for a contract.	
BR-26	Prevent the effective date of a provision from being earlier than the previous effective date.	
BR-55	Prevent the health contract group effective date from being earlier than the contract effective date.	Health
B-4	**The proposed solution must provide the ability to associate multiple contracts to an organization.**	
BR-11	Allow an organization to contract with multiple CalPERS programs.	
BR-12	Allow multiple contracts for an organization.	
BR-27	Allow the association of multiple contracts to a single organization within the same program.	
BR-28	Prevent multiple active PERS contracts for the same organization.	
BR-29	Allow multiple active health contracts for the same organization.	
BR-30	Create a "Benefit Contractor" relationship between the State organization and a new State site when a new State site is added to the system.	
BR-31	Allow the creation of a "Benefit Contractor" relationship between the county organization and the new school district when a new school district is added to the system.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-32	Allow a "Registration Servicer" relationship between a reporting agency and an organization.	
B-5	**The proposed solution must provide the ability to maintain Social Security agreement specific information.**	
BR-33	Prevent a social security agreement from being associated with an organization with an approval date post of 12/31/1982.	
B-6	**The proposed solution must provide the ability to associate an organization with another organization.**	
BR-34	Prevent school districts that contract independently for retirement coverage from being associated to the County Office of Education for retirement benefits.	
BR-35	Prevent the disassociation of a contract to an organization when participants are associated to the contract.	
BR-36	Prevent merging an organization to an organization that does not have an existing contract.	
BR-37	Allow organizations to have sub-organizations such as a County Office of Education with associated school districts.	
B-7	**The proposed solution must provide the ability to allow an organization to inherit the contract details of another organization.**	
BR-38	Prevent canceling enrollment of participants receiving health benefits when a merger occurs.	
BR-39	Allow the merger of one organization with another organization.	
BR-40	Copy contract details from a merged organization to the new organization effective on the merger date.	
B-8	**The proposed solution must provide the ability to maintain roles for the organization.**	
BR-41	Allow a contracted organization the authority to act on behalf of another organization for activities such as the submission of enrollment forms or payroll information.	
BR-42	Terminate associated roles when an organization terminates their contract.	
BR-43	Prevent the termination effective date from being prior to the contract effective date.	
B-9	**The proposed solution must provide the ability to validate contract request information.**	
BR-44	Prevent ineligible organizations from establishing a contract with CalPERS.	
B-10	**The proposed solution must provide the ability to maintain multiple employee census information files for an employee category associated with an employer.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-45	Purge census file after a defined lapse of time for an organization where no contract has been finalized.	
BR-46	Allow multiple employee census information to be submitted for an organization.	
BR-47	Prevent duplicate employee records from being added in the employee census.	
BR-48	Purge all employee census information after thirty months.	
B-11	**The proposed solution must provide the ability to identify all employees on a new census that have a prior PERS relationship.**	
BR-49	Identify CalPERS annuitants on an employee census.	
B-12	**The proposed solution must provide the ability to associate a participant to different participant classifications.**	
BR-50	Allow multiple job classifications for an organization's contract.	
B-13	**The proposed solution must provide the ability to maintain participant group information.**	
BR-51	Prevent State and California State University group of participants from having the same collective bargaining unit.	
BR-52	Prevent the termination of a medical group when participants are associated to it.	
BR-53	Prevent the health group effective date from being prior to the contract effective date.	Health
BR-54	Prevent a medical group effective date from being corrected when participants are associated to it.	Health
BR-55	Prevent the health contract group effective date from being earlier than the contract effective date.	Health
BR-56	Prevent the deletion of the last active group of participants without terminating the contract.	
BR-57	Prevent the effective date of a health contract group change from being earlier than the previous effective date.	Health
BR-58	Allow the addition of employer contributions by health groups.	Health
BR-59	Prevent the health enrollment effective date from being anything other than the first of the month.	Health
BR-102	Summarize employer contribution totals grouped by health groups.	Health
BR-103	Prevent the changing of historical information.	
BR-104	Associate an employer premium contribution amount with employer share values.	Health
BR-105	Prevent a health coverage effective date other than the first day of the month.	Health

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-115	Prevent a health coverage effective date that is not equal to or greater than the active status of the contract.	Health
B-14	**The proposed solution must provide the ability to associate groups of participants with an organization.**	
BR-60	Prevent the association of groups of participants to an organization when the effective date of the participant group is prior to the contract effective date.	
B-15	**The proposed solution must provide the ability to maintain associations of provisions to participants.**	
BR-62	Prevent new participants from being added to a terminated contract.	
BR-63	Prevent a new provision from being associated to a contract with an effective date earlier than the current provision effective date.	
BR-64	Prevent the activation of a contract when a group of participants are not associated to the contract.	
BR-65	Allow multiple active provisions to be associated to groups of participants.	
BR-66	Prevent adding participants to a terminated group of participants.	
BR-67	Prevent participants from being associated to inactive provisions.	
B-16	**The proposed solution must provide the ability to create a financial transaction when a valuation is mailed to an organization.**	
BR-68	Calculate a financial transaction according to the valuation fee schedule.	
B-17	**The proposed solution must provide the ability to maintain provisions.**	
BR-69	Prevent the addition of duplicate provisions to a participant.	
BR-70	Prevent the effective date of a provision change from being earlier than the previous effective date.	
BR-71	Allow the addition of provisions with different effective dates.	
BR-72	Prevent the addition of a provision that has the same program, organization category, provision category, and name of another provision.	
BR-73	Prevent the deletion of a provision when participants are associated to the provision.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-74	Allow the association of a provision to a medical group.	Health
BR-75	Allow more than one provision to be associated to a medical group.	Health
BR-106	Allow the creation of provisions by organization category such as Public Agency, State, California State University or School.	
BR-107	Allow the ability to add, change or correct a provision in a group of provisions associated to a participant.	
B-18	**The proposed solution must provide the ability to associate participants to rate plans.**	
BR-76	Apply rate plan changes to all associated participant records.	
B-19	**The proposed solution must provide the ability to create a financial transaction when a contract is finalized.**	
BR-100	Calculate local administrative fee upon contract setup finalization.	
B-20	**The proposed solution must provide the ability to associate a rate plan to a risk pool.**	
BR-78	Prevent a duplicate association of a rate plan to a risk pool.	
B-21	**The proposed solution must provide the ability to maintain an employer profile.**	
BR-79	Associate payroll office and servicer with all organizations affected.	
BR-80	Update the last regular payroll end date in the organization profile each time a payroll report is received.	
BR-81	Allow multiple payroll offices for an organization.	
BR-82	Allow multiple payroll office servicers for an organization.	
B-22	**The proposed solution must provide the ability to associate an employer contribution rate to a rate plan.**	
BR-83	Prevent the association of an employer contribution rate to an inactive rate plan.	
B-23	**The proposed solution must provide the ability to maintain resolutions.**	
BR-84	Prevent the deletion of a resolution when there is associated resolution information.	
BR-85	Prevent a resolution effective date change from being earlier than the previous effective date.	
BR-86	Allow employer contribution changes for active and retired enrollments.	Health

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-24	**The proposed solution must provide the ability to maintain amendments to a contract.**	
BR-87	Prevent the maintenance of amendments on terminated contracts.	
BR-88	Prevent changes from being made to a contract when the effective date is earlier than the previous effective date of the contract.	
B-25	**The proposed solution must provide the ability to maintain employer contribution rates.**	
BR-113	Identify when there is a reciprocal agreement on participants account information.	
BR-114	Generate correspondence when reciprocity has been established for a participant and reciprocal agency.	
B-26	**The proposed solution must provide the ability to assign role(s) to an organization.**	
BR-89	Prevent an organization from having multiple benefit contractor assignments per program.	
B-27	**The proposed solution must provide the ability to transfer a group of participants from one organization to another organization.**	
BR-90	Prevent the transfer of a participant to an organization that has no active contract.	
B-28	**The proposed solution must provide the ability to maintain reciprocal system agreement information.**	
BR-91	Allow reciprocal agreement information to be associated to participants and groups of participants.	
B-29	**The proposed solution must provide the ability to maintain provisions in mass.**	
BR-92	Apply changes made to a provision to all associated groups of provisions.	
B-30	**The proposed solution must provide the ability to maintain rate plan information.**	
BR-77	Prevent a rate plan from being terminated when it is associated to an active group of participants.	
B-31	**The proposed solution must provide the ability to maintain state dental and medical employer contribution amounts for the contract.**	
BR-93	Prevent changes to State dental and medical employer contribution rates with an effective date earlier than the current effective date.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-103	Prevent the changing of historical information.	
BR-108	Prevent an effective date to be earlier than the previous effective date when making changes to contribution amounts.	
BR-109	Prevent the addition of a medical contribution basis record with the same group, effective date, provision and party rate.	Health
B-32	**The proposed solution must provide the ability to maintain risk pool information.**	
BR-94	Prevent the creation of duplicate risk pools in an organization.	
B-33	**The proposed solution must provide the ability to maintain health provision details.**	
BR-101	Apply changes made to a health provision to all associated participants.	Health
B-34	**The proposed solution must provide the ability to maintain a list of Collective Bargaining Unit (CBU) information.**	
BR-95	Prevent the adding of duplicate bargaining units for the same organization.	
B-35	**The proposed solution must provide the ability to maintain a list of vesting schedules with details.**	
BR-96	Calculate vesting amounts based on an organization's contract information.	
BR-97	Allow the association of a group vesting schedule to a provision.	Health
BR-99	Prevent the effective date of the group from being prior to the contract effective date.	
BR-110	Allow the association of a vesting schedule by group and provision.	Health
BR-111	Create a vesting schedule using an employer memorandum of understanding.	Health
B-36	**The proposed solution must provide the ability to associate multiple health plans to a single carrier.**	
BR-98	Allow an organization to be associated with multiple health plans.	Health
BR-112	Allow a carrier to offer multiple health plans.	Health
B-37	**The proposed solution must provide the ability to maintain participant information.**	
BR-116	Prevent adding a participant when a participant with the same unique identifying information already exists.	
BR-117	Prevent adding a date of birth that is later than the current date.	
BR-118	Allow multiple domestic and international addresses.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-119	Prevent future mailings to an address where mail has been returned.	
BR-120	Allow multiple address types for a participant such as home, mailing, and tax residency.	
BR-121	Create a foreign tax withholding indicator based on the foreign country of residence or citizenship.	
BR-122	Prevent an address change with a prior effective date when a newer address has already been applied.	
BR-123	Prevent invalid domestic zip codes using current United States Postal Service codes.	
BR-124	Prevent the use of invalid characters in address fields using United States Postal Service rules.	
BR-125	Allow multiple contacts for a participant such as guardian, conservator, and attorney.	
BR-126	Allow the association of a participant to an employer.	
BR-127	Prevent more than one spouse/domestic partner from being active for a Health participant at the same time.	Health
BR-128	Prevent more than one address of the same type from being active at the same time.	
BR-129	Notify carrier, employer, and participant once a participant changes from active to retired.	
BR-148	Allow multiple records within a retirement program such as community property, beneficiary record, and survivor record.	
BR-149	Allow the association of a participant to related community property information.	
BR-161	Prevent more than one address of the same type from being active at the same time.	Was Health
BR-164	Prevent the deletion of a participant when an existing health enrollment exists.	Health
BR-167	Prevent the deletion of a participant when premiums are associated to the participant.	Health
BR-168	Prevent the deletion of a participant when associated with employment information.	
BR-170	Identify health enrollments as associated to an active or retired appointment.	Health
BR-171	Notify member of Medicare eligibility laws four months prior to the member/dependents turning age sixty-five.	Health
BR-172	Cancel a medical enrollment when the member/dependents is age sixty-five or older and retired with no Medicare exemption.	Health
BR-173	Allow a health enrollment for the participant when a future effective date has been reached.	Health
BR-174	Allow the transfer of a participant's health enrollment to the active appointment when the participant has separated from a previous appointment for State to State and California State University to California State University employment.	Health

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-175	Prevent employers from applying retro-active cancellations greater than six months.	Health
BR-177	Allow retroactive or future enrollment dates for Medicare.	Health
BR-180	Prevent health enrollment for dependents over the age of twenty three unless an approved health disabled certification have been filed.	Health
BR-183	Notify the dependent and CalPERS of a pending disabled recertification.	Health
BR-184	Allow future disabled dependent information to be entered.	Health
BR-61	Associate participants to a contract or to the State.	
BR-246	Allow retroactive disabled dependent information to be entered.	Health
BR-249	Allow a health subscriber to be defined as either a subscriber or a dependent.	Health
BR-250	Prevent a health subscriber from being defined as a subscriber and a dependent during the same period of time.	Health
BR-251	Allow subscribers to be enrolled for health regardless of whether actively employed or retired.	Health
BR-253	Identify health premium payment information for a participant.	Health
B-38	**The proposed solution must provide the ability to associate participants with other participants.**	
BR-130	Allow the association of one participant to another for reasons such as community property, domestic partner, and beneficiary.	
BR-178	Allow a financially responsible participant to be associated to more than one dependent.	Health
BR-185	Create a health enrollment naming the youngest dependent as a subscriber when the member dies.	Health
BR-186	Allow the total health premium to be allocated to multiple survivors as defined by the users.	Health
BR-187	Notify the carrier of the total health premium amount that includes one or many dependent premium amounts.	Health
BR-188	Prevent more than one spouse or domestic partner from being active at the same time.	Health
BR-252	Calculate dependents health premium deduction amount when the total premium is allocated to more than one survivor.	Health
B-39	**The proposed solution must provide the ability to validate participant information.**	
BR-131	Identify incorrect participant information.	
B-40	**The proposed solution must provide the ability to specify a future effective date for a change to information.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-132	Prevent adding separation details for a participant more than two weeks prior to the effective date of separation.	
BR-133	Allow changes to be made to participant information using future effective dates.	
BR-134	Prevent adding death details with future effective dates.	
BR-189	Prevent future death dates.	
BR-190	Prevent a separation effective date from being greater than two weeks after the current date.	Health
BR-191	Prevent the effective date of marriage or date of domestic partnership to be greater than the current date.	Health
B-41	**The proposed solution must provide the ability to maintain employment information.**	
BR-135	Allow for the creation of an active appointment when a previous appointment separates within the same employer such as State to State or California State University to California State University.	
BR-136	Allow appointments to be created as overtime positions.	
BR-137	Allow enrollment when multiple position appointments exist.	
BR-192	Allow multiple California State University position appointments for a participant to be used to determine health enrollment eligibility.	Health
BR-193	Identify appointments that have multiple types of employers.	
BR-194	Allow a health appointment on a California State University overtime position.	Health
B-42	**The proposed solution must provide the ability to maintain enrollment of a participant for multiple retirement programs.**	
BR-138	Prevent participants from being enrolled with PERS and Legislative Retirement System programs simultaneously.	
BR-139	Allow a participant to enroll in multiple programs.	
BR-195	Prevent enrollment in a health plan when the participant's eligibility or residential zip code is not in the health plan's service area.	Health
BR-196	Allow subscriber health enrollment to be restricted according to the associated contract terms and conditions.	Health
B-43	**The proposed solution must provide the ability to allow for multiple types of retirement service within a retirement program.**	
BR-140	Allow multiple types of retirement service within the PERS program.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-44	**The proposed solution must provide the ability to associate participants to multiple employers.**	
BR-141	Allow participants to be associated with multiple employers.	
BR-142	Prevent a participant from accruing service credit over the full time equivalent.	
BR-143	Identify when a participant's appointment and separation dates are consecutive.	
BR-197	Prevent more than one health group from being active at a time.	Health
BR-198	Allow the ability to bill the correct agency for premiums based on contract group.	Health
BR-199	Prevent non-contracting groups from enrolling in the Health program.	Health
B-45	**The proposed solution must provide the ability to maintain enrollment information.**	
BR-144	Default membership date to the enrollment eligibility date.	
BR-145	Prevent enrollment for a participant whose age is less than fourteen years or greater than eighty years of age.	
BR-146	Prevent enrollment eligibility dates prior to the employment hire date.	
BR-147	Prevent creating a participant record without enrollment in a CalPERS program.	
BR-199	Prevent non-contracting groups from enrolling in the Health program.	Health
BR-200	Prevent a health enrollment when the participant's retirement date is greater than one hundred twenty days from the participant's separation date.	Health
BR-201	Prevent a participant from having more than one active health enrollment.	Health
BR-202	Calculate premiums for the participant and employer using vesting guidelines for State employees.	Health
BR-203	Maintain medical, dental, and vision enrollment using governing provisions (as provided in the vendor library) such as the Public Employees' Medical And Hospital Care Act and the Dental Care Act.	Health
BR-254	Allow a subscriber to be enrolled in medical, dental, and vision plans at the same time.	
B-46	**The proposed solution must provide the ability to identify duplicate participant records.**	
BR-150	Prevent enrolling a participant who is already enrolled.	
BR-204	Prevent duplicate participant information.	
BR-205	Allow two records to be merged into one record.	
BR-206	Delete the source participant record once all associated information has been merged.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-47	**The proposed solution must provide the ability to merge participants' records into a consolidated participant record.**	
BR-160	Update the merged participant's record status.	
BR-205	Allow two records to be merged into one record.	
BR-206	Delete the source participant record once all associated information has been merged.	
B-48	**The proposed solution must provide the ability to validate enrollment of a participant for multiple retirement programs.**	
BR-151	Prevent enrollment of a participant when incomplete information is submitted.	
BR-152	Identify incorrect enrollment information.	
B-49	**The proposed solution must provide the ability to identify community property records which are associated to participant records that contain service reinstated following a previous**	
BR-153	Associate community property records to a participant record when a participant reinstates from retirement.	
B-50	**The proposed solution must provide the ability to maintain transactions that were in error.**	
BR-154	Identify transactions with incomplete information.	
B-51	**The proposed solution must provide the ability to maintain a unique identifier for participants.**	
BR-116	Prevent adding a participant when a participant with the same unique identifying information already exists.	
B-52	**The proposed solution must provide the ability to maintain participant information via a mass update process.**	
BR-155	Enroll new participants using census data.	
BR-156	Allow a participant to be associated to different provisions and/or different rate plans when an employer's contract is amended.	
BR-157	Associate active participants to the new organization when an organization merges or dissolves.	
BR-209	Associate participants to the new organization when an organization merges or dissolves.	
BR-210	Allow mass updates of participant's health information to be restricted to specified participants based on user definable criteria.	Health
BR-211	Allow a mass cancellation of health enrollment based on user defined criteria.	Health

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-212	Cancel the health enrollment for a dependent that is twenty-three years of age or older when the dependent is not certified disabled.	Health
BR-213	Cancel the participant's health enrollment when the participant stops receiving a warrant.	Health
BR-214	Cancel health enrollment for participants when their employment changes from active to separated.	Health
BR-215	Cancel health enrollment for participants or dependents when a death notification is received.	Health
BR-216	Prevent duplicate information from being updated.	
BR-217	Prevent an update to the participant's information when the appointment date is prior to the birth date.	
BR-218	Prevent an update to a participant's information when the date of birth is less than fourteen years of age unless specifically overridden by the user.	
BR-219	Prevent an update to the participant's information when the address effective date is less than the current effective date.	
BR-220	Prevent an update to the participant's information when the mass update file shows the participant's employment as not active but the solution shows that the participant has an active employment.	
BR-221	Prevent an update to participant information when the unique identifier on the mass update file does not mach the participant's unique identifier on the solution.	
BR-222	Prevent an update to the participant's information if the participant is deceased.	
B-53	**The proposed solution must provide the ability to associate participants to multiple participant groups.**	
BR-158	Allow participants to be associated to multiple groups of participants within an employer.	
B-54	**The proposed solution must provide the ability to maintain enrollment of a subscriber for multiple health plans.**	
BR-176	Prevent ineligible participants from being enrolled in health plans.	Health
BR-179	Allow a participant to be enrolled in multiple health programs.	Health
BR-223	Allow multiple plans within a health program.	Health
BR-227	Cancel health coverage for survivors of active State employees (AB1639), survivors of fire fighters, and peace officers (AB2059) the first of the month following one hundred and twenty days of coverage.	Health

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-228	Reactivate Health coverage for State and California State University employees when a new appointment exists and when the prior appointment separation date is within thirty days of the new appointment.	Health
BR-229	Terminate a child dependent's health enrollment on the first day of the month after the participant's month of birth when the participant reaches the age of twenty-three.	Health
BR-230	Validate enrollment eligibility using California Code of Regulations 599.500- 599.505.	Health
BR-231	Allow enrollment in multiple health plans within different health programs.	Health
BR-232	Prevent enrollment in more than one health plan within a single health program.	Health
BR-233	Prevent the initial effective date of a disabled dependent from being prior to the enrollment effective date.	Health
BR-234	Prevent the COBRA end date from being greater than the cancellation date of enrollment.	Health
BR-235	Allow user to determine the length of certification of a disabled dependent.	Health
BR-236	Create the health insurance end date using the cancellation date less one day.	Health
BR-247	Notify participants, carriers and pay entities of enrollment changes.	Health
B-55	**The proposed solution must provide the ability to allow for multiple health plans within the health program.**	
BR-162	Allow multiple health plans within the health program.	Health
BR-224	Allow participants to be enrolled in a health program without requiring enrollment in a retirement plan.	Health
BR-225	Cancel enrollment based on a participant's Consolidated Omnibus Budget Reconciliation Act end date.	Health
BR-226	Allow health coverage based on the participant's eligibility period.	Health
B-56	**The proposed solution must provide the ability to identify survivors previously enrolled under the same eligibility criteria.**	
BR-181	Prevent adding a survivor when a survivor with the same unique enrollment information already exists.	
BR-237	Prevent re-enrollment of Assembly Bill 215 survivors once their health enrollment has been cancelled, unless the dependent becomes eligible under a new subscriber.	Health
B-57	**The proposed solution must provide the ability to transfer eligibility from subscriber to survivor dependent's unique id for continued enrollment.**	
BR-182	Allow subscriber eligibility to be transferred to a survivor to facilitate continued enrollment.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-238	Allow the association of a dependent(s) to a deceased subscriber.	Health
BR-239	Allow dependents to be enrolled as subscribers when the participant dies.	Health
B-58	**The proposed solution must provide the ability to associate a financially responsible person to a subscriber.**	
BR-166	Allow the dependent of a deceased subscriber to be enrolled as a subscriber.	
BR-240	Associate a financially responsible person to a survivor.	Health
B-59	**The proposed solution must provide the ability to maintain health plan eligibility zip codes.**	
BR-169	Prevent health plan eligibility zip code changes that do not match the participant's residence zip code area or employment zip code area.	Health
BR-241	Prevent enrollment in a health plan in an ineligible service area using zip code type.	Health
B-60	**The proposed solution must provide the ability to initiate the re-certification process.**	
BR-163	Trigger the health enrollment re-certification process for disabled dependents over the age of twenty three years.	Health
BR-242	Create a notice to disabled dependents when their certification has expired.	Health
BR-243	Allow the recertification of disabled dependents using a certification expiration date.	Health
BR-248	Cancel participant's health benefits to disable dependents with a certification that has expired.	Health
B-61	**The proposed solution must provide the ability to route enrollment information to third parties.**	
BR-165	Route a newly enrolled participant's primary care physician information to the health carrier of record.	
BR-244	Create a notification of enrollment to third party carriers.	Health
BR-245	Create a listing of primary care physician information to carriers.	Health
B-62	**The proposed solution must provide the ability to allow authorized users to submit payroll information.**	
BR-495	Prevent unauthorized users from submitting payroll information.	
B-63	**The proposed solution must provide the ability to establish consecutive sequential reporting periods using pre-established reporting frequencies.**	
BR-496	Associate established reporting frequency to an organization.	
BR-445	Identify reporting periods for an employer that are not consecutive.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-444	Prevent duplicate reporting periods from being established for the same employer.	
B-64	**The proposed solution must provide the ability to reject a submitted report.**	
BR-498	Prevent submission of payroll reports where errors exceed user specified thresholds.	
BR-497	Prevent submission of payroll report when reporting period is not in consecutive sequential order.	
BR-449	Prevent a report from being submitted by an ineligible employer.	
BR-448	Reject a submitted employer report when it's a duplicate of an existing report.	
BR-446	Identify submitted employer reports that are rejected.	
B-65	**The proposed solution must provide the ability to allow payroll information to be submitted at various reporting frequencies.**	
BR-500	Allow an organization to be associated with multiple reporting frequencies.	
BR-499	Allow an organization to establish a reporting frequency.	
BR-451	Identify payroll submissions which are not consistent with the employers regular reporting frequency.	
BR-448	Reject a submitted employer report when it's a duplicate of an existing report.	
BR-445	Identify reporting periods for an employer that are not consecutive.	
B-66	**The proposed solution must provide the ability to allow payroll information and employer payments to be submitted together or separately.**	
BR-503	Allow payroll data to be processed without payments being received.	
BR-502	Allow partial processing of a payroll submission before all submission is received.	
BR-501	Prevent rejection of a payroll report if all parts are not submitted together.	
BR-455	Identify payroll payments that have been submitted without a corresponding payroll information report.	
BR-453	Identify payroll information that has been submitted without corresponding payments.	
B-67	**The proposed solution must provide the ability to create totals for various categories.**	
BR-504	Calculate on going fiscal year-to-date totals for payroll information grouped by various criteria such as employer and payroll category.	
BR-256	Create total contribution amounts using submitted payroll reports.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-68	**The proposed solution must provide the ability to allow authorized users to maintain transactions.**	
BR-505	Allow unique authorization to be specified for separate portions of payroll report.	
BR-457	Allow authorization to be given to different levels of access such as view only, modify and create.	
BR-257	Prevent unauthorized users from maintaining transactions.	
B-69	**The proposed solution must provide the ability to notify submitters of any outstanding error records requiring correction.**	
BR-507	Identify payroll maintenance error history.	
BR-506	Notify payroll submitter of outstanding transaction errors.	
BR-459	Allow erred payroll submissions to be corrected.	
BR-458	Identify payroll submissions which have errors requiring correction.	
B-70	**The proposed solution must provide the ability to retain the submission reports for a period of time determined by CalPERS.**	
BR-461	Allow the retention period for payroll submission reports to be specified by authorized CalPERS personnel.	
BR-460	Prevent payroll submission reports from being purged earlier than the period as determined by CalPERS.	
B-71	**The proposed solution must provide the ability to allow authorized users to place a submitted report on hold.**	
BR-622	Allow more than one submitter's report to be placed on hold.	
BR-621	Prevent a report that has been processed from being placed on hold.	
BR-508	Allow a submitted payroll report to be put on hold.	
BR-462	Identify submitted reports that are on hold.	
B-72	**The proposed solution must provide the ability to maintain existing transactions from a previously submitted payroll report to be used as template to create a new report.**	
BR-511	Allow non-sequential or non-consecutive payroll reports to be used as a template for a new payroll report.	
BR-510	Prevent regular or special payroll reports from being submitted that are greater than thirteen months in the past.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-509	Allow users to create a template for a new payroll report by maintaining transactions from a previously submitted report.	
BR-463	Prevent report templates being created from the previously submitted reports of a different employer.	
B-73	**The proposed solution must provide the ability to apply a change to an existing individual report in mass.**	
BR-513	Allow mass changes to create templates for new payroll reports.	
BR-512	Allow mass changes to be made to a payroll report.	
BR-465	Identify payroll submission reports that have had mass updates applied.	
BR-464	Allow a payroll submission report to be selected for mass updates.	
B-74	**The proposed solution must provide the ability to store data processing results.**	
BR-259	Maintain audit trail information for election package maintenance transactions.	
BR-258	Maintain participant election results during second tier to first tier election conversion processing.	
B-75	**The proposed solution must provide the ability to validate payroll information.**	
BR-584	Identify updated transactions where full time service credit has already been earned.	
BR-523	Reject transactions where the service exceeds the monthly threshold.	
BR-522	Prevent the acceptance of payroll detail that is greater than the full time equivalent.	
BR-521	Prevent the update of payroll data where earnings and/or the full time equivalent does not pass the established compensation review parameters.	
BR-520	Compare edits and audits of compensation reportable against established parameters.	
BR-519	Adjust service credit up to full time for delinquent reporting.	
BR-518	Prevent update of transactions where full time service credit has already been earned.	
BR-517	Allow federal earnings cap details to be maintained by CalPERS staff.	
BR-516	Prevent contributions from being reported when they exceed the Internal Revenue Code cap.	
BR-515	Prevent acceptance of payroll information where a final refund payment has been paid and there is no new appointment established.	
BR-514	Prevent accepting payroll information where there is no active appointment.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-466	Identify payroll submissions for participants that are inconsistent with participant enrollment information.	
BR-455	Identify payroll payments that have been submitted without a corresponding payroll information report.	
BR-453	Identify payroll information that has been submitted without corresponding payments.	
BR-449	Prevent a report from being submitted by an ineligible employer.	
BR-448	Reject a submitted employer report when it's a duplicate of an existing report.	
BR-444	Prevent duplicate reporting periods from being established for the same employer.	
B-76	**The proposed solution must provide the ability to identify transactions for participants with more than one appointment.**	
BR-525	Identify service credit for participants with multiple positions that are less than or equal to one full time position.	
BR-524	Prevent the update of a transaction where full time service credit has already been earned for the period.	
BR-260	Apply second tier to the first tier conversion using all eligible participant employment history from the original appointment date through the current date and all employers associated to participant's record.	
B-77	**The proposed solution must provide the ability to calculate a monthly full time equivalent amount for wages submitted at less than a monthly amount.**	
BR-527	Calculate full time equivalent using the participants earnings divided by the percent of full time reported.	
BR-261	Calculate contribution costs by using the exemption rate on earnings.	
B-78	**The proposed solution must provide the ability to associate recorded transactions with various elements.**	
BR-628	Associate participant contributions to a participant.	
BR-528	Associate a payroll record for a participant to a rate plan and risk pool group.	
BR-467	Associate transactions to the corresponding entity being processed.	
B-79	**The proposed solution must provide the ability to create accounts receivable transactions.**	
BR-529	Associate an accounts receivable transaction to a payroll record.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-262	Create a receivable account to process a participant's election for any service credit purchase.	
B-80	**The proposed solution must provide the ability to record each valid transaction to a record.**	
BR-531	Summarize transactions posted to a participant record.	
BR-263	Apply transactions associated to a participant's election such as deductions and payments to the corresponding accounts receivable until the balance is paid in full.	
B-81	**The proposed solution must provide the ability to distribute service credit purchase payment information contained within the payroll information report.**	
BR-533	Post contributions to a participant service credit receivable and establish a new balance.	
BR-469	Create financial transactions to apply service credit purchase payments to the corresponding accounts receivable.	
BR-468	Apply service credit purchase payment information to the correct participant record.	
B-82	**The proposed solution must provide the ability to calculate service credit amounts.**	
BR-534	Calculate service using the full time percentage.	
BR-266	Allow authorized CalPERS staff to override system edits regarding participant eligibility to receive an election to convert second tier service to the first tier service.	
BR-265	Apply the second tier to first tier service credit conversion to the participant's record.	
BR-264	Apply calculated service credit amount totals to the participant's election information.	
B-83	**The proposed solution must provide the ability to allow authorized CalPERS staff to override system calculated compensation earnable amounts.**	
BR-535	Allow override capability for authorized users to calculate compensation earnable for benefit calculations.	
B-84	**The proposed solution must provide the ability to update risk pools to reflect service credit purchases.**	
BR-538	Apply contributions to the employer risk pool upon payment.	
BR-537	Provide the ability to maintain service credit and tax deferred contributions separately.	
BR-536	Apply service credit to the specified rate plan in the employer risk pool.	
BR-267	Apply service credit purchase payments to the correct risk pool accounts.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-85	**The proposed solution must provide the ability to calculate the employer receivable transaction amount.**	
BR-471	Identify employers where penalty and interest have been applied.	
BR-470	Calculate interest and penalties to be applied to an employer account.	
B-86	**The proposed solution must provide the ability to calculate compensation earnable amounts.**	
BR-540	Calculate the compensation earnable amount to be used in the final compensation calculation for retirement benefits.	
BR-472	Identify compensation earnable amounts that have been calculated for an employer.	
B-87	**The proposed solution must provide the ability to allow employers to submit contribution payments.**	
BR-473	Associate contribution payments to the corresponding employer.	
BR-466	Identify payroll submissions for participants that are inconsistent with participant enrollment information.	
BR-455	Identify payroll payments that have been submitted without a corresponding payroll information report.	
BR-453	Identify payroll information that has been submitted without corresponding payments.	
B-88	**The proposed solution must provide the ability to create electronic payment transactions.**	
BR-623	Prevent the creation of an electronic payment transaction when an electronic fund transfer authorization is not on file.	
BR-541	Allow the ability to create electronic funds transfer payment authorizations.	
BR-474	Associate electronic payments to a participant.	
B-89	**The proposed solution must provide the ability to record employer's payment information.**	
BR-543	Update the last contribution payment date in the employer profile each time a contribution is received.	
BR-476	Associate payment information with an employer.	
BR-453	Identify payroll information that has been submitted without corresponding payments.	
B-90	**The proposed solution must provide the ability to process a file of payment transactions.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-544	Allow an electronic fund transfer data processing service provider or bank to transmit payment authorizations or receive payment transactions.	
BR-481	Identify payment transactions that cannot be associated to a participant.	
BR-480	Associate payment transactions with a valid participant.	
B-91	**The proposed solution must provide the ability to associate payroll information to a payment.**	
BR-546	Associate payments to multiple receivable accounts.	
BR-483	Identify payments that cannot be associated to valid payroll information.	
BR-482	Identify payroll information that cannot be associated to a payment.	
B-92	**The proposed solution must provide the ability to create financial transactions as payroll information is received.**	
BR-549	Allow the user to manually enter a financial transaction.	
BR-548	Create a financial transaction when a payment is received.	
BR-493	Associate financial transactions with an employer.	
BR-492	Allow financial transactions to be associated to a participant.	
BR-484	Associate financial transactions with payroll information.	
B-93	**The proposed solution must provide the ability to associate employer account receivable information with payroll information.**	
BR-486	Identify individual participants associated to employer account receivable transactions.	
BR-485	Identify employer receivable transactions and their corresponding payroll submission details.	
B-94	**The proposed solution must provide the ability to distribute a payment to multiple records.**	
BR-626	Allow payment information to be distributed to multiple records.	
BR-557	Allow payments to be distributed to multiple receivable accounts.	
BR-270	Prevent applying a service credit purchase payment when it does not match the established pre-tax payment schedule.	
BR-269	Identify participant payments applied to a service credit purchase receivable.	
BR-268	Allow a payment to apply to multiple service credit purchase records.	
B-95	**The proposed solution must provide the ability to allow participants to submit payments.**	

Req #	**Requirement**	
Rule #	Key Business Rule	Program Specific
BR-624	Prevent the acceptance of an electronic payment transaction when an electronic fund transfer authorization is not on file.	
BR-558	Allow participants to submit direct payments via multiple payment methods such as direct payment, check, and electronic fund transfer.	
BR-547	Associate a direct payment to a participant receivable account.	
BR-545	Allow the receipt of electronic fund transfer payments for participants.	
BR-542	Allow electronic fund transfer payments to be captured and processed in-house and then sent to a service provider or bank.	
BR-489	Accept additional payments for total payoff or to change the pay schedule for service credit purchases.	
BR-475	Identify electronic payments received for unknown participants.	
BR-272	Prevent accepting service credit purchase payments when there is no corresponding service credit purchase receivable account.	
BR-271	Allow service credit purchase balances to be paid via various methods.	
BR-270	Prevent applying a service credit purchase payment when it does not match the established pre-tax payment schedule.	
B-96	**The proposed solution must provide the ability to maintain payment related information on the participant's record.**	
BR-559	Allow the participant or other party to reuse payment routing information without re-keying for future use.	
BR-489	Accept additional payments for total payoff or to change the pay schedule for service credit purchases.	
BR-270	Prevent applying a service credit purchase payment when it does not match the established pre-tax payment schedule.	
B-97	**The proposed solution must provide the ability to accept payments submitted electronically from financial institutions on behalf of participants (Electronic Banking).**	
BR-560	Allow electronic payments to be accepted from participants.	
BR-273	Allow the receipt of a service credit purchase payment when the payment amount and frequency matches the established payment schedule.	
BR-272	Prevent accepting service credit purchase payments when there is no corresponding service credit purchase receivable account.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-270	Prevent applying a service credit purchase payment when it does not match the established pre-tax payment schedule.	
B-98	**The proposed solution must provide the ability to identify different types of payments.**	
BR-635	Allow payments such as direct pay, payroll deductions, and rollovers.	
B-99	**The proposed solution must provide the ability to create financial transactions to distribute service credit purchase payments to one or more risk pool accounts.**	
BR-281	Apply the service credit purchase payment information to the participant's record.	
BR-280	Create a financial transaction to apply the service credit purchase payment to the participant's receivable account.	
B-100	**The proposed solution must provide the ability to validate participant payments.**	
BR-562	Identify discrepancies between participant payments and their receivable accounts.	
BR-494	Prevent payments from being processed when they cannot be associated to a valid participant.	
BR-283	Allow a hold on a service credit purchase payment to be released.	
BR-282	Allow a service credit purchase payment to be placed on hold when there is a pending service credit election.	
BR-272	Prevent accepting service credit purchase payments when there is no corresponding service credit purchase receivable account.	
BR-271	Allow service credit purchase balances to be paid via various methods.	
BR-270	Prevent applying a service credit purchase payment when it does not match the established pre-tax payment schedule.	
B-101	**The proposed solution must provide the ability to associate participant contribution data to a record.**	
BR-468	Apply service credit purchase payment information to the correct participant record.	
BR-265	Apply the second tier to first tier service credit conversion to the participant's record.	
BR-263	Apply transactions associated to a participant's election such as deductions and payments to the corresponding accounts receivable until the balance is paid in full.	
B-102	**The proposed solution must provide the ability to identify missing payroll information.**	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-565	Identify payroll not submitted within a specified time period.	
BR-563	Identify missing payroll information not submitted within a specified time period.	
B-103	**The proposed solution must provide the ability to create a financial transaction from the delinquency processing function.**	
BR-564	Generate a financial transaction for a delinquency fee related to late reporting or late payment.	
B-104	**The proposed solution must provide the ability to calculate delinquency fees.**	
BR-566	Calculate multiple types of fees such as delinquency and administrative fees and interest.	
B-105	**The proposed solution must provide the ability to grant time extensions to employers to allow additional time to submit payroll reports.**	
BR-567	Associate the time extension to the employer.	
BR-284	Prevent a service credit purchase record from being identified as delinquent during an extension period.	
B-106	**The proposed solution must provide the ability to maintain delinquency fees.**	
BR-568	Associate the delinquency fee to the employer account.	
BR-443	Allow interest due amounts and administrative fees to be waived.	
B-107	**The proposed solution must provide the ability to grant time extensions to employers to allow additional time to submit payments.**	
BR-567	Associate the time extension to the employer.	
BR-284	Prevent a service credit purchase record from being identified as delinquent during an extension period.	
B-108	**The proposed solution must provide the ability to identify missing payments.**	
BR-569	Identify payments that are not submitted by the due date.	
BR-447	Allow for variable reporting frequencies based on payment type.	
B-109	**The proposed solution must provide the ability to initiate a transfer of funds between programs and risk pools.**	
BR-571	Post an adjusting transaction when a transfer of funds is initiated.	
BR-570	Allow employer accounts to be adjusted between programs, rate plans and sub-accounts.	

Req #	**Requirement**	
Rule #	Key Business Rule	Program Specific
BR-450	Allocate dollar amounts between risk pools that reflect program transfer amounts.	
B-110	**The proposed solution must provide the ability to associate employer transactions to a rate plan.**	
BR-452	Associate employer transactions to a rate plan using a combination of employer and group of participants.	
B-111	**The proposed solution must provide the ability to create financial transactions that reflect changes to the Risk Pool account.**	
BR-573	Summarize accounting entries by sub-account.	
BR-572	Allow an accounting entry to be generated when a financial transaction is created or updated on an employer accounts receivable.	
BR-454	Create a financial transaction amount that equals any change to employer or participant receivable account.	
B-112	**The proposed solution must provide the ability to allocate investment earnings to risk pools.**	
BR-625	Allocate investment earnings using the standards established for the Investment Earnings Allocation project.	
BR-456	Allocate investments earnings to risk pools on an annual basis.	
B-113	**The proposed solution must provide the ability to maintain investment earning rates.**	
BR-634	Allow investment earnings rates to be maintained by year and by program.	
B-114	**The proposed solution must provide the ability to create a financial transaction for each interest transaction.**	
BR-633	Create financial transactions for investment income allocations.	
BR-454	Create a financial transaction amount that equals any change to employer or participant receivable account.	
B-115	**The proposed solution must provide the ability to calculate investment earnings to be allocated to risk pools.**	
BR-625	Allocate investment earnings using the standards established for the Investment Earnings Allocation project.	
BR-574	Allow the calculation of investment earnings for individual employer accounts when an employer is not part of a risk pool.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-116	**The proposed solution must provide the ability to close out a receivable balance.**	
BR-575	Allow a receivable balance to be offset or written off by a specific dollar amount.	
BR-289	Close out a receivable balance for a second tier to first tier conversion when the total amount of payments have been recorded.	
BR-288	Allow a service credit purchase receivable account to be closed when the receivable balance is zero.	
BR-287	Allow authorized users to close a service credit purchase record.	
B-117	**The proposed solution must provide the ability to calculate interest for participant contribution records.**	
BR-578	Calculate multiple types of interest.	
BR-577	Calculate interest for a participant's contribution record when a refund or death payment is owed.	
BR-576	Credit the interest amount to the participant contribution record when the interest is calculated.	
BR-295	Calculate interest amounts for payment schedules based on effective dates and service credit purchase types.	
B-118	**The proposed solution must provide the ability to maintain interest rates.**	
BR-580	Allow the updating of beginning and ending dates for interest rates.	
B-119	**The proposed solution must provide the ability to maintain multiple types of interest.**	
BR-581	Calculate multiple types of interest such as regular, bonus, and actuarial when trigged by processing events such as death and the calculation of an amortization schedule for a service credit purchase.	
B-120	**The proposed solution must provide the ability to maintain the current Supplemental Peace Officer and Firefighters share price.**	
BR-477	Allow daily changes to supplemental peace officer and firefighters share cost amounts.	SSP
B-121	**The proposed solution must provide the ability to create a notice when a receivable cancellation is requested and a payment has already been posted to the participant’s**	
BR-478	Prevent canceling a receivable when payments have been posted.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-122	**The proposed solution must provide the ability to calculate payment schedules for participant receivable accounts.**	
BR-583	Allow recalculation of an existing payment schedule.	
BR-582	Allow multiple payment schedules for participants to choose from.	
BR-479	Create a base payment schedule that aligns to the participant's current pay frequency when the participant is active.	
BR-304	Allow a payment schedule to have a minimum payment of fifteen dollars and a maximum of one hundred and eighty months.	
BR-303	Allow for the re-calculation of a payment schedule once a hold has been removed from the service credit purchase record.	
BR-302	Prevent creating a duplicate payment amount for a service credit purchase record when the payment amount exists on a payment schedule.	
BR-301	Create a monthly base payment schedule when the participant status is retired or inactive.	
B-123	**The proposed solution must provide the ability to calculate service credit purchase receivable payoff amounts.**	
BR-579	Calculate interest to be paid through the roll date.	
BR-309	Prevent the inclusion of the surcharge as part of the principal paid when calculating the payoff amount.	
BR-308	Prevent applying the estimated service credit purchase amount when calculating the payoff amount.	
BR-307	Allow for hypothetical scenarios in the calculation of payoff amounts.	
BR-306	Allow assumed payments to be used when calculating payoff amounts.	
BR-305	Calculate service credit purchase receivable payoff amounts using service credit purchase receivable information.	
B-124	**The proposed solution must provide the ability to maintain a status on a record.**	
BR-487	Allow a participant record to have multiple statuses such as open, closed or suspended.	
B-125	**The proposed solution must provide the ability to validate service credit purchase information.**	
BR-311	Identify a service credit purchase transaction when the participant's current employer information does not match the service credit purchase current employer information.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-310	Identify discrepancies between participant service credit purchase request information and the current participant information.	
BR-270	Prevent applying a service credit purchase payment when it does not match the established pre-tax payment schedule.	
B-126	**The proposed solution must provide the ability to allow participants to have multiple service credit purchase records.**	
BR-312	Allow multiple service credit purchase records based on different service credit calculation types and request dates.	
B-127	**The proposed solution must provide the ability to place a receivable payment schedule on hold.**	
BR-316	Allow changes to the interest on a payment schedule that has been placed on hold.	
BR-315	Allow a service credit purchase payment schedule to be changed from hold to active.	
BR-314	Prevent a service credit purchase payment schedule from being placed on hold when it meets established criteria.	
BR-313	Allow a service credit payment schedule to be changed to hold for a predefined period or indefinitely using predefined criteria.	
B-128	**The proposed solution must provide the ability to identify the tax status of service credit purchase payments.**	
BR-585	Identify a participant's credit purchase payment as pre-tax or post-tax.	
BR-317	Create unique identifiers for each type of rollover payment.	
B-129	**The proposed solution must provide the ability to create reversing transactions.**	
BR-632	Prevent the reversal of a receivable transaction when payments have been posted to the account.	
B-130	**The proposed solution must provide the ability to identify delinquent service credit purchase receivable accounts.**	
BR-318	Identify a service credit purchase receivable account as delinquent when a payment is not posted within a defined timeframe.	
B-131	**The proposed solution must provide the ability to identify records for participants with variable working months.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-627	Prevent a delinquency notice from being created when a participant is a school employee with a ten or eleven month work year.	
BR-488	Identify school employees with a ten or eleven month work year.	
B-132	**The proposed solution must provide the ability to create financial transactions to adjust delinquent service credit purchase account receivable balances.**	
BR-490	Create a financial transaction that equals the adjustment to be made to the delinquent service credit purchase receivable account.	
B-133	**The proposed solution must provide the ability to prioritize participant record transactions.**	
BR-631	Process contributions before benefit payments and refunds.	
B-134	**The proposed solution must provide the ability to maintain transactions online or via electronic interface for each type of participant record.**	
BR-457	Allow authorization to be given to different levels of access such as view only, modify and create.	
BR-257	Prevent unauthorized users from maintaining transactions.	
B-135	**The proposed solution must provide the ability to transfer amounts between records.**	
BR-325	Allow the transfer of all service credit from a participant's second tier service record to the participant's first tier service record when an election for conversion is processed.	
BR-324	Allow contributions and interest to be transferred from one participant's service credit purchase record to another participant's service credit purchase record.	
BR-323	Allow contributions and interest to be transferred between one service credit purchase record to another service credit purchase record when a participant has multiple service credit purchase records regardless of the record status.	
BR-322	Allow the reversal of amounts transferred between a community property record and participant record.	
BR-321	Allow the transfer of amounts earned prior to membership.	
BR-320	Allow a partial or full transfer of the participant's contributions, interest and service credit between the participant's record and the community property record.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-319	Allow a partial or full transfer of the participant's contributions, interest and service credit between participant's record and the community property record regardless of the participant's status.	
B-136	**The proposed solution must provide the ability to calculate amount to transfer between records.**	
BR-330	Calculate amounts based on a specific amount of service credit and contributions.	
BR-329	Calculate amounts based on specific date ranges for service credit purchase payments.	
BR-328	Calculate total contributions and interest to be transferred.	
BR-327	Calculate amounts based on specific date ranges and/or percentages.	
BR-326	Determine the associated employer and group information affected.	
B-137	**The proposed solution must provide the ability to record requests for Verification of Deposit notices.**	
BR-332	Retain verification of deposit request for historical reference.	
BR-331	Create a verification of deposit notice to the requesting party.	
B-138	**The proposed solution must provide the ability to calculate the cost of service credit.**	
BR-586	Calculate the cost for multiple types of service credit.	
BR-439	Calculate second tier to first tier service conversion using any refunded service.	
BR-364	Calculate totals from all derived contribution amounts owed.	
BR-363	Deduct exemption rates from pay earnings prior to applying contribution percentage rate to payroll earnings.	
BR-362	Identify all second tier payroll and service associated to the participant's account.	
BR-361	Produce letter/election packets based on the calculation data.	
BR-359	Calculate the estimated benefit of the purchase of service credit based on one to five years of service.	
BR-358	Display refund information in reverse chronological order for a redeposit calculation.	
BR-357	Identify a participant with a pay rate of less than one thousand dollars per month.	
BR-356	Identify a participant with payroll criteria that requires additional review to calculate service credit purchase amount.	
BR-355	Identify when a participant has special compensation in excess of two thousand five hundred dollars in a single payroll entry.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-354	Identify when the participant does not have payroll reported on the request date for an additional retirement service credit calculation.	
BR-353	Calculate redeposits for accounts that have been refunded.	
BR-352	Calculate a service credit purchase amount using historical refund information.	
BR-351	Calculate the cost of service credit using all posted service credit including previously elected service credit purchases.	
BR-350	Allow maintenance of the information calculated.	
BR-349	Calculate service credit using current and historical employment information.	
BR-348	Calculate service credit purchases using a weighted average with pay rates reported both monthly and hourly during the same months during the thirty-six month period for school employees.	
BR-347	Calculate service credit purchases using a pay rate average based on the actual months for the highest pay rate when school employees work a ten or eleven month work year.	
BR-346	Calculate the highest pay rate within a specific period based on approved criteria.	
BR-345	Calculate the final compensation amount for the estimated benefit of the purchase of the service credit.	
BR-344	Retrieve refund information for redeposit calculations.	
BR-343	Allow users to modify refund information to be used in redeposit calculations.	
BR-342	Calculate a redeposit of a withdrawn contribution amount using historical refund information.	
BR-341	Calculate service credit purchase amounts using current and historical payroll information.	
BR-340	Calculate the cost of service credit based on the calculation type and the member's request date.	
BR-339	Calculate lump sum amounts based on specific dates for service credit purchase amounts.	
BR-338	Calculate employee plus employer contribution with interest for service credit purchase amounts.	
BR-337	Calculate employee plus employer contribution with interest applied to both shares for service credit purchase amounts.	
BR-336	Calculate arrears with interest service credit purchase amounts.	
BR-335	Calculate present value service credit purchase amounts.	
BR-334	Calculate service prior to membership service credit purchase amounts.	
BR-333	Calculate arrears service credit purchase amounts.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-300	Calculate service credit purchase amounts using current year and balance forward interest amounts.	
BR-299	Allow changes to current year and balance forward interest amounts used in service credit purchase calculations.	
BR-298	Allow changes to interest rates used on service credit purchase amount calculations.	
BR-297	Calculate arrears service credit purchase interest amounts.	
BR-296	Calculate interest rate amount for service prior to membership service credit purchase calculations using membership date and current interest through date.	
BR-294	Calculate interest amounts for redeposit transactions using interest rate, termination dates, request date, and current interest through date.	
BR-292	Calculate second tier to first tier conversion amounts using current year and balance forward interest amounts.	
BR-291	Calculate current year and balance forward interest amounts to be used in the second tier to first tier conversation calculation process.	
BR-290	Calculate service credit purchase amounts using interest rates based on service credit calculation types and request dates.	
BR-260	Apply second tier to the first tier conversion using all eligible participant employment history from the original appointment date through the current date and all employers associated to participant's record.	
BR-255	Apply payroll details to calculate the cost of converting from the second tier to first tier.	
B-139	**The proposed solution must provide the ability to calculate the estimated benefit of the purchase of service credit.**	
BR-370	Allow benefit estimate calculations to be based on ages higher than the participant's current age.	
BR-369	Calculate estimates of the purchase of service credit on the service credit total that will benefit the participant.	
BR-368	Calculate the estimated benefit of the purchase of the service credit using the age factor for the retirement formula and employer category.	
BR-367	Apply the exemption amount to the final compensation figure.	
BR-366	Prevent calculating an estimated benefit of a purchase of service credit when a participant submits an application for disability retirement.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-365	Calculate the estimated benefit of the purchase of service credit using the age of fifty years when the participant's current age is less than fifty years.	
BR-359	Calculate the estimated benefit of the purchase of service credit based on one to five years of service.	
BR-346	Calculate the highest pay rate within a specific period based on approved criteria.	
B-140	**The proposed solution must provide the ability to identify payroll data that exceeds defined parameters.**	
BR-630	Identify payroll information received for a participant when the year-to-date total exceeds two hundred ten thousand dollars.	
BR-629	Identify payroll where the pay rate is less than a specified amount per hour for a participant.	
B-141	**The proposed solution must provide the ability to print messages on annual member statements.**	
BR-371	Display the participant's converted service credit on the next available statement when the participant elects to convert service from the second tier to the first tier retirement plan.	
B-142	**The proposed solution must provide the ability to maintain requests for service credit purchase cost information.**	
BR-375	Retain election package information for viewing, reprinting, processing, and tracking purposes.	
BR-374	Identify requests to purchase service credit that are received after the participant's retirement date.	
BR-373	Maintain request details such as owner, priority level, and action.	
BR-372	Maintain the request received date for each calculation type.	
BR-259	Maintain audit trail information for election package maintenance transactions.	
B-143	**The proposed solution must provide the ability to apply a partial payment to the lump sum cost.**	
BR-380	Allow multiple entries for partial payments.	
BR-379	Allow multiple payment types such as after-tax and pre-tax.	
BR-378	Apply partial payment information to the associated election package when a participant submits a conversion election.	
BR-377	Recalculate the lump sum due prior to applying the partial payment when the partial payment is received after the cost through date.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-376	Prevent a partial payment from exceeding the lump sum due amount.	
B-144	**The proposed solution must provide the ability to calculate multiple payment amounts.**	
BR-383	Prevent a payment amount from exceeding the number of payments allowed by law.	
BR-382	Prevent a payment amount from exceeding the lump sum due.	
BR-381	Prevent payment amounts from being less than the $15.00 monthly equivalent.	
BR-360	Calculate installment payments not to exceed fifteen years or under fifteen dollars per month.	
BR-304	Allow a payment schedule to have a minimum payment of fifteen dollars and a maximum of one hundred and eighty months.	
B-145	**The proposed solution must provide the ability to maintain actuarial assumptions factors.**	
BR-387	Allow changes to actuarial information for the lifetime/pre-death factors used in calculations.	
BR-386	Retain the actuarial information for lifetime/pre-death factors used in prior year calculations.	
BR-385	Maintain actuarial assumption factors based on the request date.	
BR-384	Maintain actuarial assumption factors for present value calculations based on employer, category, and retirement formula.	
B-146	**The proposed solution must provide the ability to calculate a surcharge(s).**	
BR-392	Calculate a payment amount using a surcharge rate.	
BR-391	Prevent a surcharge amount from creating an accounting transaction.	
BR-390	Identify that a surcharge amount was included in the installment payment.	
BR-389	Apply surcharge amounts based on the request date.	
BR-388	Calculate surcharges on payment schedules for safety members or state industrial members under the age of fifty years.	
B-147	**The proposed solution must provide the ability to create deductions for service credit purchase.**	
BR-401	Create a service credit purchase deduction when a participant elects to convert from second tier to first tier.	
BR-400	Allow a service credit purchase deduction to be created for a retired participant.	
BR-399	Allow changes to a service credit purchase deduction when partial payments are received.	
BR-398	Update the participant's record with the service credit purchase deduction information.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-397	Create service credit purchase deductions for multiple service credit purchases.	
BR-396	Calculate the service credit purchase deduction using factors such as the participant's current status, employer, and payment frequency.	
BR-395	Prevent the processing of a service credit election when the election is received after the participant's election date.	
BR-394	Process deductions for service credit purchases when valid elections are received.	
BR-393	Create a service credit purchase record when valid election is received.	
B-148	**The proposed solution must provide the ability to maintain service credit cost calculation amounts.**	
BR-405	Generate an election package.	
BR-404	Maintain cost benefit estimate details for service credit cost calculations.	
BR-403	Maintain lump sum and installment payment amounts for service credit cost calculations.	
BR-402	Maintain calculation details by request date and calculation type for service credit cost calculations amounts.	
BR-259	Maintain audit trail information for election package maintenance transactions.	
B-149	**The proposed solution must provide the ability to maintain benefit reduction estimate amounts.**	
BR-406	Include estimated retirement benefit information such as age factors, accumulated service credit at retirement, and projected earnings in the participant's election package.	
B-150	**The proposed solution must provide the ability to calculate multiple payment terms.**	
BR-491	Prevent the posting of payments that exceed the one hundred and eightieth month (or equivalent).	
BR-409	Allow an online calculator for participants inquiring on Actuarial Equivalent Reduction.	
BR-408	Allow an online calculator for participants inquiring on partial payments to derive the amount of the new balance due and to display the minimal requirement of fifteen dollars with a maximum of one hundred and eighty months.	
BR-407	Prevent the posting of payments less than fifteen dollars per month (or equivalent).	
B-151	**The proposed solution must provide the ability to maintain a surcharge(s).**	
BR-413	Accumulate the surcharge amount from each payment for a participant.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-412	Calculate a payment amount using a surcharge rate.	
BR-411	Prevent the surcharge amount from creating an accounting transaction.	
BR-410	Identify whether or not an installment payment schedule includes a surcharge amount.	
B-152	**The proposed solution must provide the ability to create financial transactions that reflect participant refunds.**	
BR-591	Create a financial transaction to refund any outstanding balance owing to the participant from the pre-paid health insurance record.	
BR-590	Create a financial transaction to reimburse the Benefit Revolving Fund for the amount refunded to the participant from the Benefit Revolving Fund.	
BR-589	Create a financial transaction to pay a refund from a non-interest bearing account.	
BR-588	Create a financial transaction to represent refund amounts for risk pools impacted by refund payments.	
BR-587	Create a single financial transaction per participant representing the sum of all refund amounts paid to a participant on a respective refund roll.	
B-153	**The proposed solution must provide the ability to maintain refund information.**	
BR-597	Allow the restoration of the participant's contributions, interest, and service credit for any refund cancelled after it was issued.	
BR-596	Allow for the cancellation of a refund request.	
BR-595	Allow a stop payment to be applied to a refund payment.	
BR-594	Allow for the input of the name and address of the financial institution when a participant elected a rollover of their contributions.	
BR-593	Allow for the input of the warrant number that represents the payment of a participant's refund.	
BR-592	Allow for the adjustment of the total time of service associated with a given refund.	
BR-438	Prevent processing refund redeposit transactions when the refunded employer is no longer contracted with CalPERS.	
BR-437	Allow refund information to be changed when calculating a redeposit of withdrawn contribution amounts.	
BR-436	Identify a refund as a redeposit when elected by the participant.	
BR-435	Prevent the association of service credit with second payment or additional payment refunds.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-434	Associate any service credit with each refund amount on a first payment.	
BR-433	Summarize refund amounts by employer, category, and benefit formula by termination date.	
BR-432	Summarize the total refund amount by termination date.	
BR-431	Apply a refund type using participant account type such as community property, non-member account, or invalid account.	
BR-430	Allow changes to multiple refunds associated to a separation date.	
BR-429	Allow changes to all data by employer, category and benefit formula.	
BR-428	Allow changes to a termination date.	
BR-427	Allow changes to a separation date associated with the termination date.	
BR-426	Allow refund information for a non-member to be associated to a member's account.	
B-154	**The proposed solution must provide the ability to create refund stop payment transactions.**	
BR-598	Allow a stop payment request to be sent to the State Controller's Office.	
B-155	**The proposed solution must provide the ability to calculate participant refund amounts.**	
BR-602	Allow a portion of a participant's total contributions to be refunded.	
BR-601	Calculate a refund amount for each rate plan associated to the participant's record.	
BR-600	Calculate interest on the contribution through the refund date.	
BR-599	Allow all contributions posted to a participant's record as of the refund date to be included in the refund calculation.	
BR-440	Identify refund amounts separately in the second tier to first tier conversion data.	
B-156	**The proposed solution must provide the ability to record a financial transaction for a replacement payment.**	
BR-604	Create a notification to be sent to the accounting system when a replacement refund payment has been issued for an original refund that has been cancelled.	
BR-603	Allow the participant's record to be updated when a replacement warrant has been issued.	
B-157	**The proposed solution must provide the ability to maintain a refund request.**	
BR-608	Allow a refund request to be placed on hold pending further action on a participant's record.	
BR-607	Allow a refund request to be rejected.	
BR-606	Identify a participant's record where a refund has been requested.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-605	Allow a refund request to be posted to a participant's record.	
B-158	**The proposed solution must provide the ability to maintain tax withholding rates.**	
BR-612	Prevent a deduction for income taxes for contributions that have been previously taxed.	
BR-611	Allow a deduction for income taxes to be applied against a participant's refund amount in accordance with Internal Revenue Service and Franchise Tax Board guidelines.	
BR-610	Allow a percentage of a participant's refund amount to be deducted for income taxes.	
BR-609	Allow an additional amount to be deducted from a participant's refund amount for income taxes.	
B-159	**The proposed solution must provide the ability to pay a revolving fund check.**	
BR-613	Create a refund to be established on the regular refund roll to reimburse the Benefit Revolving Fund.	
B-160	**The proposed solution must provide the ability to pay refunds related to each record for the same participant separately.**	
BR-615	Allow a separate refund amount to be issued for each account within a participant's record.	
BR-614	Allow a separate financial transaction on the refund roll for each account within a participant's record.	
B-161	**The proposed solution must provide the ability to create a refund transaction.**	
BR-618	Create a refund roll with participants who have been identified to receive a refund.	
BR-616	Compile all refund transactions established within the prescribed periods into a refund roll.	
B-162	**The proposed solution must provide the ability to "restore" a participant record.**	
BR-597	Allow the restoration of the participant's contributions, interest, and service credit for any refund cancelled after it was issued.	
B-163	**The proposed solution must provide the ability to validate refund information.**	
BR-620	Prevent the processing of a refund request when it contains missing information.	
BR-619	Prevent a refund request from being processed when the participant has not separated from CalPERS covered employment.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-164	**The proposed solution must provide the ability to maintain a participant record.**	
BR-617	Allow a service credit purchase record to be created.	
BR-530	Prevent a service credit purchase record from being deleted based on established criteria.	
BR-425	Prevent community property records from being deleted when there are outstanding transactions.	
BR-424	Allow the association of employer and group information not within the marriage period to a community property record.	
BR-423	Identify employer and group information related to a marriage period for the purpose of associating community property records.	
BR-422	Associate employer and group information from the participant record to the community property record.	
BR-421	Allow a community property record to be associated to a participant regardless even when retired, refunded, or deceased.	
BR-420	Prevent unauthorized users from creating a community property record for each specified program.	
BR-419	Allow a community property record to be created from multiple programs.	
BR-418	Prevent a community property record from being duplicated from another community property record.	
BR-417	Allow more than one community property record to be associated with a single participant.	
BR-416	Prevent storing a community property record until all mandatory community property information is completed.	
BR-415	Prevent creating a community property record without a valid participant to associate.	
BR-414	Create a community property record manually or by batch process.	
BR-1054	Allow maintenance of contributions and interest information for tier two participants as if they were tier one for the purpose of calculating an Actuarial Equivalent Amount.	
B-165	**The proposed solution must provide the ability to accept multiple benefit requests.**	
BR-636	Prevent duplicate benefit requests from being processed.	
BR-637	Prevent incomplete benefit requests from being processed.	
BR-638	Identify the reason for rejecting a benefit request.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-639	Allow future effective date benefit requests to be processed.	
B-166	**The proposed solution must provide the ability to maintain disability information.**	
BR-640	Maintain multiple medical conditions related to a disability.	
BR-641	Allow the entry of a future date for a re-evaluation of a participant's disability condition.	
BR-642	Allow multiple determination reasons and dates to be entered for a participant.	
BR-643	Prevent a user from deleting a participant's disability information without the designated security level.	
B-167	**The proposed solution must provide the ability to identify participants that require review.**	
BR-644	Search for participants using various search criteria.	
BR-645	Identify changes to CalPERS participants' pre-paid insurance premiums.	
BR-646	Adjust a participant's monthly retirement allowance when the allowance reduction is based on disposable earnings.	
B-168	**The proposed solution must provide the ability to identify outstanding activities submitted prior to a participant's death.**	
BR-647	Prevent the automatic processing of death benefits when a participant has previously applied for retirement or requested a refund.	
B-169	**The proposed solution must provide the ability to maintain benefit request information.**	
BR-648	Identify the receipt date of a benefit request.	
BR-649	Prevent the deletion of system-generated information regarding the receipt of a benefit request.	
BR-650	Allow details of multiple forms or documents pertaining to a specific benefit request to be entered.	
BR-651	Identify the status of a benefit request throughout the benefit request lifecycle.	
B-170	**The proposed solution must provide the ability to validate benefit requests.**	
BR-652	Prevent invalid benefit request types from being processed.	
B-171	**The proposed solution must provide the ability to determine eligibility for all types of benefit requests.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-653	Prevent retirement applications from being processed for participants that do not meet the minimum age criteria.	
BR-654	Prevent benefit requests from being processed for participants that do not meet the minimum service credit total.	
BR-656	Allow a participant to be eligible for multiple benefits.	
B-172	**The proposed solution must provide the ability to calculate the earliest service retirement date.**	
BR-657	Allow sick leave and/or compensation time off credit to be used to determine a participant's retirement date.	
B-173	**The proposed solution must provide the ability to identify participants due for re-evaluation of a disability.**	
BR-658	Create a notice for a participant's disability medical condition re-evaluation.	
BR-659	Create a follow-up notice for a participant's disability re-evaluation process.	
BR-660	Prevent the re-evaluation process for participants not retired due to disability.	
B-174	**The proposed solution must provide the ability to cancel a benefit request.**	
BR-661	Prevent the cancellation of a benefit request when a retirement or death benefit warrant has ever been issued.	
BR-662	Identify benefit requests that have been cancelled.	
B-175	**The proposed solution must provide the ability to calculate the percentage of work time.**	
BR-663	Identify when a participant is working less than full time.	
BR-664	Prevent calculating the percentage of work for participants whose total service credit is more than the minimum required for the respective benefit.	
B-176	**The proposed solution must provide the ability to calculate a disability re-evaluation date.**	
BR-665	Prevent the calculation of a re-evaluation date when the benefit is not a disability retirement.	
BR-666	Prevent a re-evaluation date that does not correspond with the specified time period following the participant's disability retirement.	
B-177	**The proposed solution must provide the ability to determine all eligible benefit types for a participant.**	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-952	Identify the benefits for which the participant's employer contracted.	
BR-953	Identify whether the participant was eligible for service retirement at the time of death.	
BR-954	Identify the optional settlement selected by the participant at retirement.	
B-178	**The proposed solution must provide the ability to identify outstanding actions.**	
BR-667	Prevent the processing of a benefit request when a participant has an open service credit purchase receivable.	
BR-668	Prevent the processing of death benefits when a participant has a valid retirement application or refund request pending.	
BR-669	Prevent the processing of a benefit request when a claim has been filed on a participant's record.	
B-179	**The proposed solution must provide the ability to identify special conditions applicable to a participant.**	
BR-670	Identify supplementary information that may affect a participant's information.	
BR-671	Create a notice to a participant containing supplementary information.	
B-180	**The proposed solution must provide the ability to identify warrants issued after a participant's date of death.**	
BR-672	Identify warrants that are no longer payable.	
BR-955	Identify warrants that were issued subsequent to a participant's date of death.	
B-181	**The proposed solution must provide the ability to identify outstanding service credit balances.**	
BR-673	Identify outstanding service credit purchase receivables.	
B-182	**The proposed solution must provide the ability to perform multiple types of benefit calculations.**	
BR-655	Calculate the death benefits payable using the participant's information.	
BR-674	Calculate a benefit using percentages and/or fixed dollar amounts.	
BR-675	Calculate a benefit using the provision information from an employer contract.	
BR-676	Calculate benefits for reinstated participants.	
BR-677	Calculate benefits for participants including any service credit purchased at retirement.	
BR-678	Calculate benefits for participants including all reciprocity that has been established.	
BR-679	Calculate optional settlements for a participant's benefit.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-688	Calculate the portion of a participant's monthly benefit corresponding to the participant's accumulated contributions and interest.	
BR-964	Allow a calculation for an Extended Service Incentive Program lump-sum payout amount.	
B-183	**The proposed solution must provide the ability to maintain a benefit calculation limitation.**	
BR-914	Prevent a participant's benefit from exceeding the limit defined in the Internal Revenue Code Section 415.	
B-184	**The proposed solution must provide the ability to calculate a permanent benefit reduction amount.**	
BR-442	Allow historical lifetime/pre-death factors that were used in prior fiscal year calculations to be maintained.	
BR-441	Allow lifetime/pre-death factors as used in calculation to be user modified.	
BR-680	Calculate a participant's monthly benefit reduction amount when the participant elects an actuarial reduction for the purchase of service credit.	
BR-681	Apply the calculated reduction amount to a participant's monthly benefit.	
BR-682	Calculate a participant's retirement reduction amount when the temporary annuity benefit has been elected.	
B-185	**The proposed solution must provide the ability to calculate factors used in calculations.**	
BR-683	Calculate a benefit using the appropriate annuity factor based on the participant's age at the time of the benefit.	
BR-684	Calculate a benefit using the appropriate optional settlement factor based on the participant and beneficiary's ages.	
BR-685	Calculate a benefit using the appropriate interest factor.	
B-186	**The proposed solution must provide the ability to calculate multiple Final Compensation amounts.**	
BR-526	Calculate the full time equivalent value when calculating final compensation for a participant.	
BR-686	Allow multiple pay rates when calculating the participant's final compensation.	
BR-687	Calculate the final compensation for the period of time corresponding with the participant's organization contract.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-187	**The proposed solution must provide the ability to maintain benefit amount information.**	
BR-689	Include unused sick leave credit in the participant's monthly benefit calculation.	
BR-690	Calculate the portion of a participant's benefit that is tax-free based on the participant's contributions and interest.	
BR-691	Allow a monthly benefit to be canceled under specified circumstances.	
BR-692	Distribute the cost of living allowance proportionally to each portion of a participant's monthly benefit.	
B-188	**The proposed solution must provide the ability to calculate the distribution of a payment by Risk Pool.**	
BR-693	Calculate the benefit from each respective organization for which the participant was employed.	
BR-694	Allow the association of multiple organizations to a participant's record.	
B-189	**The proposed solution must provide the ability to maintain calculation information.**	
BR-695	Allow the entry of manual input of variables to perform a calculation.	
BR-696	Maintain the information used in a calculation for future use such as recalculation and adjustment.	
BR-697	Add the parameters used to calculate a benefit estimate to a participant's record for future use.	
B-190	**The proposed solution must provide the ability to calculate the tax-free portion of the allowance.**	
BR-698	Determine the amount of the participant's contributions that were previously taxed while employed and the amount that has not been taxed.	
BR-699	Prevent the calculation of a tax-free portion of an Industrial Disability Retirement allowance unless the allowance is greater than 50% of the participant's final compensation.	
BR-700	Allow the usage of taxability tables from the Internal Revenue Service for the calculation of the tax-free portion of a participant's benefit.	
BR-701	Determine the participant's age as of the effective date of the benefit.	
BR-702	Add the tax-free portion of a participant's benefit information to the participant's record.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-191	**The proposed solution must provide the ability to calculate health insurance vesting data to determine the deduction amount.**	
BR-703	Determine the participant's most recent organization as of the effective date of the participant's benefit.	
BR-704	Identify the existence of a valid health insurance contract with CalPERS for the most recent organization at the time of the participant's retirement or death.	
BR-705	Allow for the inclusion of the participant's membership date with the most recent organization at the time of retirement/death when determining the participant's vesting data.	
BR-706	Calculate the percentage of health insurance premiums for which a participant is responsible using defined statutes.	
B-192	**The proposed solution must provide the ability to maintain Temporary Annuity information.**	
BR-707	Identify participants who elected the temporary annuity benefit at the time of retirement.	
BR-708	Identify the age to which the participant wishes to receive the temporary annuity benefit.	
BR-709	Prevent the temporary annuity benefit from being elected by a participant not covered by Social Security.	
BR-710	Add the temporary annuity age and amount to a participant's record.	
BR-711	Determine the expiration date of the temporary annuity benefit.	
BR-712	Create notices to participants regarding the removal of the temporary annuity benefit prior to the benefit expiration date.	
B-193	**The proposed solution must provide the ability to maintain Compensation Review parameters.**	
BR-713	Allow for the input of salary amounts to be used as a threshold for determining whether a participant has received irregular compensation from the organization.	
BR-714	Prevent the benefit calculation from proceeding when the participant's earnings exceed the prescribed threshold.	
BR-715	Determine that the thresholds established pertain to the appropriate provision.	
B-194	**The proposed solution must provide the ability to maintain compensation review information.**	
BR-716	Maintain the dates and times indicating when a participant's record was reviewed for compensation.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-717	Prevent the review of irregular compensation of a participant's record when it has already been reviewed.	
B-195	**The proposed solution must provide the ability to calculate multiple benefits to a single participant based on data from multiple records or programs.**	
BR-718	Allow a participant to receive a benefit as a beneficiary of a deceased participant simultaneous with receiving a benefit based on his/her own retirement.	
BR-719	Determine that a participant's benefit relates to the type of appropriate circumstances for being entitled to receive the benefit.	
BR-720	Determine that a benefit is calculated using only the information relating to the reason for the benefit.	
BR-721	Prevent a benefit from CalPERS to be calculated from data associated to the Judges Retirement System, Judges Retirement System II, or Legislative Retirement System programs.	
BR-722	Allow a participant entitled to a community property benefit to have a benefit calculated based on his/her own information.	
BR-723	Allow multiple benefits payable to one participant to be identified uniquely.	
B-196	**The proposed solution must provide the ability to calculate various estimated amounts.**	
BR-724	Allow for the calculation of multiple estimated benefit amounts for the same participant.	
BR-725	Allow the ability for a participant to utilize the self-service feature to calculate multiple estimates of benefit amounts.	
BR-726	Allow for the calculation of multiple estimates amounts required to purchase service credit.	
BR-727	Allow for a participant to utilize the self-service feature to calculate multiple amounts required to purchase service credit.	
BR-728	Prevent the calculation of an estimated benefit amount when all of the information is identical to a previous calculated estimate.	
BR-729	Allow the calculation of an estimated benefit amount using various data from the participant's information.	
BR-730	Allow for the calculation of a participant's monthly retirement benefit estimate amount when requested by CalPERS staff.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-197	**The proposed solution must provide the ability to project benefit calculation variables.**	
BR-731	Identify the most recent pay period posted to the participant's record.	
BR-732	Identify when all payroll data has been posted to the participant's record.	
BR-733	Allow for the projection of retirement contributions from the last posted contribution to the effective date of the participant's retirement or death.	
BR-734	Allow for the projection of service credit for the period between the last posted service credit and the effective date of the participant's retirement.	
BR-735	Project the amount of the contribution to be equal to the amount of the last posted contribution amount per pay period.	
BR-736	Project the amount of service credit to be equal to the amount of the last posted service credit per pay period.	
BR-965	Allow for the projection of the last salary reported.	
B-198	**The proposed solution must provide the ability to retrieve participant record and account information to be used in an online Web utility self-service tool to calculate service credit**	
BR-737	Retrieve the correct participant information based on the identifying data entered.	
BR-738	Determine that the service credit calculated corresponds to the organization on the participant's record.	
BR-739	Determine that a calculation includes the increased amount a service credit purchase would cause to the monthly benefit.	
B-199	**The proposed solution must provide the ability to calculate retirement benefits for a single payee across multiple programs.**	
BR-740	Prevent a comparison calculation for a participant applying for service retirement, unless the participant has membership in multiple programs.	
BR-741	Determine the type of comparison calculation to be performed based on the type of disability retirement benefit requested.	
BR-742	Determine the type of comparison calculation to be performed based on the type of death benefits payable.	
BR-743	Determine the type of comparison calculation to be performed when the participant is associated with multiple programs.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-744	Select the correct benefit amount when compared to all possible benefit calculations for a specific participant.	
B-200	**The proposed solution must provide the ability to recalculate an estimate.**	
BR-745	Maintain the calculation data for all estimate of benefits performed for a specified period of time.	
BR-746	Allow the data maintained from the previous estimate calculation to be used in the calculation of another estimate request.	
B-201	**The proposed solution must provide the ability to maintain tax reporting information per payee.**	
BR-747	Maintain various income and tax withholding details associated with a participant throughout a given tax year.	
BR-748	Allow adjustments to be made to a participant's annual tax history information.	
BR-749	Maintain any capital gain pertinent to certain benefits paid to a participant for a given tax year.	
B-202	**The proposed solution must provide the ability to calculate deduction amounts.**	
BR-750	Calculate the deduction amount based on specified criteria.	
BR-751	Calculate a split amount for a participant's benefit amount based on specified criteria.	
BR-752	Apportion deduction amounts from a participant's benefit to another organization.	
BR-753	Calculate the disposable earnings for a participant.	
BR-754	Calculate the deduction amount from each participant when multiple beneficiaries are receiving benefits from deceased member's account.	
BR-755	Calculate the final deduction amount for a participant having a limited duration deduction.	
BR-756	Calculate the amount to reimburse the Benefit Revolving Fund when a distribution from this fund has occurred.	
BR-927	Allow the ability to split premium (employee) share among multiple survivor warrants.	Health
B-203	**The proposed solution must provide the ability to maintain a deduction's priority criteria.**	
BR-757	Prevent a deduction from being applied to a participant's benefit when the amount of the benefit is less than the deduction amount.	
BR-758	Apply deductions to a participant's benefit in a specified hierarchy.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-759	Allow for the input of a deduction hierarchy and its related criteria.	
B-204	**The proposed solution must provide the ability to calculate tax withholding for payees.**	
BR-760	Calculate the federal income tax deduction amount based on current values.	
BR-761	Calculate the federal income tax deduction amount based on the participant's residency.	
BR-762	Calculate the federal income tax deduction amount using the tax disposition of a participant's contributions.	
BR-763	Calculate the federal income tax amount based on prescribed percentages.	
BR-764	Calculate the state income tax amount deduction based on current tables.	
BR-765	Prevent a deduction for income tax from pre-defined benefits.	
B-205	**The proposed solution must provide the ability to maintain multiple deductions for a payee.**	
BR-766	Allow multiple deductions to be applied to one participant.	
BR-767	Allow specific deduction amounts to be modified for a participant's benefit.	
B-206	**The proposed solution must provide the ability to maintain deduction information.**	
BR-768	Maintain details of the deductions taken from a given benefit.	
BR-769	Allow an effective date range to be specified for a deduction.	
BR-770	Allow a health insurance deduction to be taken from a pre-paid account.	
BR-771	Prevent a health insurance deduction from being applied to participant when the pre-paid account's balance is less than the deduction amount.	
BR-772	Allow a deduction to be established with a future effective date.	
BR-929	Associate premium deductions from the financially responsible party to the health enrollments.	Health
B-207	**The proposed solution must provide the ability to maintain information for multiple "Holds" on a record.**	
BR-773	Allow a levy to apply a hold to a participant's benefit.	
BR-774	Allow for the resumption of a benefit previously suspended or paid to another fund.	
B-208	**The proposed solution must provide the ability to calculate a deduction schedule.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-934	Create a deduction schedule with the number of deduction payments and the final deductions date.	
B-209	**The proposed solution must provide the ability to receive deduction requests via various media.**	
BR-775	Prevent a deduction from being applied when the identifying information does not match.	
B-210	**The proposed solution must provide the ability to calculate a single payment amount from multiple deductions.**	
BR-956	Summarize deduction amounts for all participants grouped by deduction types for a reporting period.	
BR-957	Create a claim schedule representing the total payment amount for all participants with the same deduction type for a reporting period.	
B-211	**The proposed solution must provide the ability to create a financial transaction to record an overpayment deduction.**	
BR-776	Create a financial transaction to reduce a participant's accounts receivable account when an overpayment deduction is taken from the participant's benefit payment.	
BR-778	Create a financial transaction when a deduction is taken from a participant's benefit payment.	
B-212	**The proposed solution must provide the ability to maintain deduction information in a participant's record.**	
BR-779	Apply a participant's service credit purchase payment to the designed receivable account.	
BR-780	Apply a participant's overpayment receivable payment to the designated receivable account.	
B-213	**The proposed solution must provide the ability to maintain the payee's third-party account information related to each deduction.**	
BR-781	Maintain a participant's bank account information.	
BR-782	Maintain demographic information associated to each deduction taken from a participant's benefit payment.	
B-214	**The proposed solution must provide the ability to maintain prepaid premium record.**	
BR-1018	Create a financial transaction when adjustments are made to accounts.	
BR-783	Identify funds containing multiple employee-share amounts of health insurance premiums when a participant elects to pre-pay.	
BR-784	Prevent a monthly deduction amount from exceeding the pre-paid fund balance.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-785	Allow pre-paid fund balances to be modified.	
BR-931	Associate prepaid account information to a participant or subscriber.	
BR-933	Calculate current, future, retroactive, and pre-release health deductions.	Health
BR-935	Calculate pre-paid deductions.	
BR-937	Calculate vesting for State and public agency members.	
BR-938	Calculate the employer and member share of the premium using employer and bargaining unit.	Health
BR-941	Allow deductions to be applied manually.	
BR-943	Associate the financially responsible participant's premium to the survivor's enrollment.	Health
BR-944	Update a participant's deduction when changes occur in their enrollment.	Health
BR-945	Associate participants deductions to their health enrollment.	Health
BR-946	Process deductions when new health enrollments are received.	Health
BR-947	Prevent discrepancies between health enrollments and deductions.	Health
BR-948	Cancel a participant's health deduction when the health enrollment has been terminated.	Health
BR-949	Initiate the collections process when deductions exceed the gross amount of a warrant.	Health
BR-950	Notify participants of Medicare overpayments.	Health
BR-951	Allow retroactive adjustments to a participant's allowance.	
BR-959	Create a financial transaction to establish an accounts receivable for member overpayments.	
BR-962	Allow the ability to split an employee's share of a premium among multiple survivor warrants.	
BR-963	Calculate Medicare premium reimbursements.	
B-215	**The proposed solution must provide the ability to create prepaid premium financial transactions.**	
BR-928	Create a financial transaction when a participant submits a prepaid premium payment.	
BR-932	Calculate a prepaid premium for a financial transaction.	
B-216	**The proposed solution must provide the ability to refund the premium prepaid balance.**	
BR-786	Create a financial transaction for payments of the balances of pre-paid deduction funds when the accounts are deleted.	
B-217	**The proposed solution must provide the ability to identify annuitants who have CHPP deductions that exceed their gross allowance.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-787	Prevent ineligible participants from participating in the Complementary Health Premium Program pre-paid deduction plan.	
BR-788	Generate a notice to a participant in the Complementary Health Premium Program pre-paid deduction plan when the deductions exceed the participant's gross benefit amount.	
B-218	**The proposed solution must provide the ability to create bills for the CHPP premiums owed in advance of each billing cycle.**	
BR-789	Create a transaction to request an invoice to be issued to the participant when additional funds need to be deposited in the pre-paid deduction fund.	
B-219	**The proposed solution must provide the ability to record receipt of CHPP premium payment information from the accounting system.**	
BR-790	Maintain transaction information when a pre-paid deduction account is established for a participant.	
B-220	**The proposed solution must provide the ability to create financial transactions to Fiscal for all AR activity related to the CHPP.**	
BR-777	Create a financial transaction to reduce a participant's pre-paid health insurance account balance when a deduction amount is taken from the participant's benefit payment.	
BR-936	Identify discrepancies between the accounting system and the payment amounts within the Complementary Health Premium Program.	
B-221	**The proposed solution must provide the ability to calculate CHPP set up fees.**	
BR-940	Prevent multiple set up fees for a participant's Complementary Health Premium Program.	
B-222	**The proposed solution must provide the ability to calculate payments to multiple payees from a single benefit amount.**	
BR-791	Allow multiple participants to receive a benefit from a participant's record.	
BR-792	Allow a participant's benefit to be split into payments for issuance to a financial institution and the participant.	
BR-793	Allocate a benefit payment among the remaining participants when one recipient is no longer eligible to receive the benefit payment.	
B-223	**The proposed solution must provide the ability to maintain payment information.**	
BR-794	Calculate a participant's benefit payment gross amount.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-795	Calculate a participant's net benefit payment amount.	
BR-796	Maintain participant deduction information.	
B-224	**The proposed solution must provide the ability to calculate control totals.**	
BR-797	Create a total of all benefit payment gross and net amounts for each benefit roll.	
BR-798	Create a total of all deductions by type for each benefit roll.	
B-225	**The proposed solution must provide the ability to reconcile the financial transactions against the accounting system.**	
BR-799	Reconcile the totals derived for each roll with the totals from the previous roll once the roll is completed.	
BR-800	Identify a discrepancy between the total number of warrants issued and the total number of warrants on the monthly retirement roll.	
BR-801	Validate that the total amount of participants' benefits correspond with the number of participants having their benefits sent via direct deposit.	
B-226	**The proposed solution must provide the ability to maintain messages to be included in the file to SCO for printing on warrant statements.**	
BR-939	Allow user specified text to be included with a participant's benefit statement for all types of rolls such as monthly or bi-weekly.	
B-227	**The proposed solution must provide the ability to create financial transactions for benefit payments.**	
BR-802	Create a financial transaction for the total amount of benefit payments issued for a given period such as monthly, bi-weekly, and weekly.	
BR-803	Create a financial transaction for the total amount of deductions taken on a benefit roll.	
B-228	**The proposed solution must provide the ability to reconcile amounts between the solution and the accounting system.**	
BR-804	Identify discrepancies between totals in the solution and totals in the accounting system.	
B-229	**The proposed solution must provide the ability to produce a file for the SCO for payments.**	
BR-805	Create an electronic file with the participants who have received benefit payments.	
BR-806	Create an electronic file with the participants who have received periodic benefits.	
BR-807	Create an electronic file with the participants entitled to receive a one-time benefit.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-230	**The proposed solution must provide the ability to create a claim schedule to the SCO.**	
BR-808	Create a claim schedule using a pre-defined format containing the totals of a particular roll period.	
B-231	**The proposed solution must provide the ability to accept payment confirmation from the SCO.**	
BR-809	Update the participant's record with the warrant number and issue date when the electronic file is received from the State Controller's Office.	
B-232	**The proposed solution must provide the ability to create a financial transaction associated with the reclassification of participants.**	
BR-810	Create a financial transaction to transfer amounts determined by actuaries when a participant is reclassified.	
B-233	**The proposed solution must provide the ability to maintain a benefit payment roll based on user selected criteria.**	
BR-811	Create a benefit roll unique to a given benefit such as service retirement, disability retirement, and partial retirement.	
BR-812	Allow for the re-creation of a benefit roll based on user parameters.	
BR-813	Prevent multiple programs such as PERS, Legislative Retirement System, and Judges Retirement System in benefit rolls.	
B-234	**The proposed solution must provide the ability to calculate the first payment date.**	
BR-814	Identify ineligible effective dates on the participant's benefit.	
BR-815	Identify the participant's first benefit payment date.	
B-235	**The proposed solution must provide the ability to calculate a retroactive payment amount.**	
BR-816	Allow a benefit to be paid based on user input parameters.	
BR-817	Allow a one-time payment to be added to a participant's monthly benefit.	
B-236	**The proposed solution must provide the ability to calculate a pro rata benefit amount.**	
BR-818	Calculate a benefit using the first day of the month of the participants date of death.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-237	**The proposed solution must provide the ability to calculate the net payment amount (gross benefit amount less deductions).**	
BR-930	Prevent a payment amount from being less than zero dollars.	
B-238	**The proposed solution must provide the ability to maintain benefit roll information submitted to the SCO.**	
BR-819	Allow information on the benefit roll to be revised prior to submittal to State Controllers Office.	
BR-820	Allow the information on claim schedules to be revised prior to submittal to the State Controllers Office.	
B-239	**The proposed solution must provide the ability to create a financial transaction of the detailed receivable deductions (e.g., service credit purchase, overpays, BRF) to the accounting**	
BR-942	Identify discrepancies between the receivable account and the participant's record.	
BR-961	Create a financial transaction detailing the deduction amounts to be applied to the participant's receivable account.	
B-240	**The proposed solution must provide the ability to calculate penalty interest on a benefit payment.**	
BR-821	Allow for the calculation of interest to be paid to the participant when payment of the regular benefit was delayed.	
BR-822	Allow for the calculation of interest on late payments.	
B-241	**The proposed solution must provide the ability to process payment information from multiple employers for a single payee.**	
BR-823	Apply payment based on the percentage allotted for each organization to be paid.	
B-242	**The proposed solution must provide the ability to create a financial transaction to request payments from the BRF.**	
BR-824	Create a financial transaction to the accounting office providing the amounts and demographic information for a benefit revolving fund check.	
B-243	**The proposed solution must provide the ability to accept payment confirmation information from the accounting system.**	
BR-825	Identify participants payments that have made from the Benefit Revolving Fund.	
BR-826	Identify discrepancies between amounts on the accounting system with the amounts on the electronic request to the accounting system.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
B-244	**The proposed solution must provide the ability to set up an accounts receivable to reimburse the BRF for payment of a benefit.**	
BR-827	Create an accounts receivable for a participant who received a Benefit Revolving Fund check for the amount of the check.	
B-245	**The proposed solution must provide the ability to reverse a transaction.**	
BR-828	Allow for a participant's record to be removed from the benefit roll for specific circumstances.	
BR-829	Restore contributions, interest and service used in the most recent retirement calculation to the participant's record upon being removed from the benefit roll.	
BR-830	Create a financial transaction for the accounting office providing the amounts to be restored to a participant's record upon being removed from the benefit roll.	
B-246	**The proposed solution must provide the ability to maintain unclaimed benefit account information.**	
BR-831	Place a participant's benefit amount into a non-interest bearing account for future distribution when it is unclaimed or unpayable.	
BR-832	Identify original payee information on benefits that are placed in a non-interest bearing account.	
BR-833	Identify reasons why the original benefit was returned to CalPERS and placed in the non-interest bearing account.	
BR-834	Maintain the payment of a returned benefit in a non-interest bearing account for a participant.	
BR-835	Decrement the non-interest bearing account balance when a payment amount is paid from it.	
B-247	**The proposed solution must provide the ability to create a financial transaction of the unclaimed benefit to the accounting system.**	
BR-836	Identify discrepancies between payments amounts in the accounting system and the original payment amounts.	
BR-837	Identify discrepancies between the participant identified on the financial transaction and CalPERS.	
B-248	**The proposed solution must provide the ability to allow participants to maintain (self-service) voluntary deductions.**	
BR-838	Prevent a participant from accessing a CalPERS record different from their own.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-839	Prevent a deduction amount from being entered on to a participant's record if it exceeds the net amount of the benefit.	
B-249	**The proposed solution must provide the ability to maintain electronic funds transfer information.**	
BR-840	Identify electronic file transfer information which is entered that does not correspond to a participant receiving a benefit from CalPERS.	
B-250	**The proposed solution must provide the ability to validate electronic funds transfer information.**	
BR-841	Prevent electronic file transfer information being entered for a participant that does not pertain to a qualified financial institution.	
B-251	**The proposed solution must provide the ability to create a financial transaction for reinstatements.**	
BR-842	Allow a retired participant to be reinstated to active CalPERS membership.	
BR-843	Prevent a retired participant from being reinstated to active membership earlier than the retirement effective date.	
BR-844	Prevent payment of benefit payments on and after a reinstatement from retirement effective date.	
BR-845	Create an overpayment receivable for all benefit payments issued subsequent to the effective date of the reinstatement.	
BR-846	Create a financial transaction to the accounting system indicating the amount of contributions, interest and service credit to be restored to the participant's information.	
BR-847	Restore contributions, interest and service credit data to a participant's record upon reinstatement from retirement.	
B-252	**The proposed solution must provide the ability to record Verification of Income requests.**	
BR-848	Identify the name of the company requesting the income verification.	
BR-849	Identify the date and time the income request was received.	
B-253	**The proposed solution must provide the ability to identify retired participants receiving payroll.**	
BR-850	Prevent payroll data from being posted to a participant's record when it represents a period subsequent to the retirement effective date.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-851	Allow payroll data to be posted to a participant's record when it represents a period of time prior to the retirement effective date.	
BR-852	Create a notification to CalPERS staff when payroll is received for a retired participant.	
BR-853	Notify organizations when they have sent payroll data for a retired participant.	
B-254	**The proposed solution must provide the ability to identify records that require adjustments.**	
BR-854	Identify retired or deceased participants where a balance is still remaining on their record.	
BR-855	Identify participants who have contributions, interest or service credit in excess of the amounts used in the benefit calculation.	
BR-856	Prevent a balance adjustment calculation for a specific participant until final payroll data has been received and posted.	
BR-857	Identify participant's where the amount of residual balance affects the benefit amount before adjustment allowance, based on tolerances.	
B-255	**The proposed solution must provide the ability to identify an overpayment.**	
BR-858	Compare benefits that should have been paid against what benefits were actually paid to a participant.	
BR-859	Determine that the payments expected correspond with those received.	
BR-860	Identify payments against the overpayment receivable which are missing or delinquent.	
BR-861	Create a notification to the CalPERS staff indicating the amount of overpayment receivable.	
BR-862	Create correspondence pertaining to the participant's payment delinquency.	
B-256	**The proposed solution must provide the ability to accept payment transactions from the accounting system.**	
BR-863	Identify amounts paid to an overpayment receivable.	
B-257	**The proposed solution must provide the ability to create a financial transaction to establish an accounts receivable.**	
BR-864	Allow for the creation of an overpayment receivable account when the benefits paid exceed the amount that should have been paid.	
B-258	**The proposed solution must provide the ability to maintain overpayment information.**	
BR-865	Maintain the balance of a participant's overpayment receivable when a payment is posted.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-866	Prevent an overpayment receivable from being closed before the balance of the overpayment receivable is depleted.	
BR-867	Identify a participant's overpayment receivable as having been satisfied.	
B-259	**The proposed solution must provide the ability to associate the overpayment receivable account to the participant.**	
BR-868	Prevent an overpayment receivable account from being created for a participant who did not receive a benefit from CalPERS.	
BR-869	Prevent a payment from one participant from being posted to another participant's record.	
B-260	**The proposed solution must provide the ability to create a financial transaction to write-off a receivable balance.**	
BR-870	Identify amounts owed to CalPERS that are uncollectible as candidates of a discharge of accountability.	
B-261	**The proposed solution must provide the ability to maintain prepaid record information.**	
BR-871	Allow for the updating and deletion of a pre-paid health insurance premium account.	
BR-872	Notify the participant when the pre-paid health insurance account requires additional funds.	
B-262	**The proposed solution must provide the ability to maintain the IRS tax free formulas.**	
BR-873	Allow for a portion of a participant's benefit to be tax-free.	
BR-874	Prevent the entire amount of a participant's benefit from becoming taxable until the designated number of months have passed.	
B-263	**The proposed solution must provide the ability to create a replacement payment.**	
BR-875	Allow a user to generate a replacement warrant for a participant's benefit.	
BR-876	Allow for the payment of a benefit from the Benefit Revolving Fund as a replacement for an original warrant.	
BR-877	Allow for the payment of a benefit from the unclaimed benefits account as a replacement for an original warrant.	
B-264	**The proposed solution must provide the ability to create a financial transaction for a replacement payment.**	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-878	Create a financial transaction to the accounting system advising of the non-payment of the original disbursement.	
B-265	**The proposed solution must provide the ability to print postal bar codes.**	
BR-879	Allow for the reading of a postal bar code on any document issued by CalPERS that has been returned.	
B-266	**The proposed solution must provide the ability to record control totals.**	
BR-880	Compare the total number of records on an Employment Development Department quarterly report with the number of participants who had state income tax withheld during the respective period of time.	
BR-881	Compare the total number and amount of deductions for state income tax on the Employment Development Department quarterly report with the total number and amounts of state income tax withheld.	
B-267	**The proposed solution must provide the ability to create participant information to be used by the Employment Development Department.**	
BR-882	Identify any participant entered on an Employment Development Department quarterly report that was not on the previous one.	
BR-883	Identify participants with adjustments from a previously submitted Employment Development Department quarterly report.	
B-268	**The proposed solution must provide the ability to maintain COLA information.**	
BR-884	Identify the COLA rates used in previous years for calculating the cost of living allowance amounts for past and current years.	
BR-885	Identify the amount of increase each participant received from previous years' cost of living allowance.	
B-269	**The proposed solution must provide the ability to maintain benefit payment information in mass.**	
BR-886	Apply the cost of living allowance to all eligible participants receiving a benefit from CalPERS at the designated time.	
BR-887	Allow for the mass application of the COLA amount to all eligible participants' benefits.	

Req # / Rule #	Requirement / Key Business Rule	Program Specific
BR-888	Allow for the mass application of the Purchasing Power Protection Allowance amount to all eligible participants' benefits.	
B-270	**The proposed solution must provide the ability to maintain deduction information in mass.**	
BR-889	Allow for the mass input of deduction amounts to participants' benefits.	
BR-890	Allow for the mass change of deduction amounts when a carrier changes the premium amounts.	
BR-891	Allow the mass conversion of participants from one health insurance carrier to another.	
B-271	**The proposed solution must provide the ability to calculate the COLA adjustment.**	
BR-892	Allow for the input of the designated percentage of cost of living adjustment to be applied each year.	
BR-893	Allow for each employer to contract for a unique percentage of COLA for their annuitants.	
BR-894	Allow for the storage of each COLA percentage by group of participants per employer contract.	
BR-895	Allow for the calculation of a retroactive COLA payment to be applied to a participant's benefit.	
BR-896	Identify percentage amounts of COLA that do not adhere to the legal mandates for that year.	
BR-897	Identify participants that are entitled to receive a COLA adjustment based on the law.	
BR-898	Calculate the correct COLA amount for each participant, based on the number of years that have elapsed since the entitlement to the benefit began.	
BR-899	Update the related fields of a participant's benefit when COLA is applied to it.	
B-272	**The proposed solution must provide the ability to maintain Medicare reimbursement information.**	
BR-900	Allow for the mass application of Medicare rates for all eligible participants.	
BR-901	Allow for the entry of the appropriate Medicare rates in mass.	
BR-902	Allow for the entry of the state share amount of a participant's Medicare premium.	
BR-903	Allow for the mass adjustment of all participants receiving the Medicare reimbursement.	
B-273	**The proposed solution must provide the ability to maintain PPPA information.**	
BR-904	Allow for the entry of the purchasing power protection allowance percentage from the actuarial system.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-905	Allow for the entry of the purchasing power protection allowance adjustment to be applied to the participant's benefit.	
BR-906	Allow for the entry of the first year of eligibility for the purchasing power protection allowance for each eligible participant.	
BR-907	Allow for the comparison of the previous year's purchasing power protection allowance percentages to the current year's.	
BR-908	Allow for the change and/or deletion of the purchasing power protection allowance percentages.	
BR-909	Allow for the storage of any differences between current year's purchasing power protection allowance percentages from previous year's as well as notes including the reason for the difference.	
B-274	**The proposed solution must provide the ability to determine PPPA eligibility.**	
BR-910	Apply the correct percentage to a participant's allowance based on the employer's contract.	
BR-911	Prevent an ineligible participant from receiving a purchasing power protection allowance adjustment.	
B-275	**The proposed solution must provide the ability to create a financial transaction to process annual billing to employers for replacement benefit fund (RBF).**	
BR-912	Identify amounts to bill employers for the replacement benefit fund.	
B-276	**The proposed solution must provide the ability to issue replacement benefit payments.**	
BR-913	Issue a periodic replacement benefit to participant's whose benefits are subject to the Internal Revenue Code 415's limit.	
BR-915	Identify participant's whose replacement benefit amounts were adjusted because of an adjustment made to the participant's monthly benefit.	
BR-916	Identify the amount paid to a participant as the replacement benefit.	
BR-917	Bill the organization for the amounts owed for the replacement benefit fund.	
BR-958	Determine when a participant's replacement benefit amount requires adjusting due to an adjustment to the retirement benefit.	
BR-960	Allow an adjustment to a participant's replacement benefit amount.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-277	**The proposed solution must provide the ability to maintain information to support W2 reporting for participants receiving RBF payments.**	
BR-918	Identify all participants who receive the replacement benefit check.	
BR-919	Associate replacement benefit amounts to participants.	
BR-920	Identify income taxes withheld from the replacement benefit for each eligible participant.	
BR-921	Create a listing of all income taxes withheld from the replacement benefits to be sent to the Social Security Administration.	
BR-922	Create a listing of all federal income taxes withheld from the replacement benefits to be sent to the Internal Revenue Service.	
BR-923	Create a listing of all state income taxes withheld from the replacement benefits to be sent to the Franchise Tax Board.	
BR-924	Create a W-2 form according to Internal Revenue Service's format to be sent to all participants receiving funds from the replacement benefit fund.	
B-278	**The proposed solution must provide the ability to calculate the 1959 survivor annual increase.**	
BR-925	Identify all participants receiving the 1959 Survivor benefit.	
BR-926	Apply the annual increase to all eligible 1959 survivor benefit recipients.	
B-279	**The proposed solution must provide the ability to calculate the PPPA in mass.**	
BR-904	Allow for the entry of the purchasing power protection allowance percentage from the actuarial system.	
BR-905	Allow for the entry of the purchasing power protection allowance adjustment to be applied to the participant's benefit.	
BR-906	Allow for the entry of the first year of eligibility for the purchasing power protection allowance for each eligible participant.	
BR-907	Allow for the comparison of the previous year's purchasing power protection allowance percentages to the current year's.	
BR-908	Allow for the change and/or deletion of the purchasing power protection allowance percentages.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-909	Allow for the storage of any differences between current year's purchasing power protection allowance percentages from previous year's as well as notes including the reason for the difference.	
BR-910	Apply the correct percentage to a participant's allowance based on the employer's contract.	
BR-911	Prevent an ineligible participant from receiving a purchasing power protection allowance adjustment.	
B-280	**The proposed solution must provide the ability to create customized reports.**	
BR-966	Allow authorized users to specify sort orders and groupings when creating customized reports.	
BR-967	Allow authorized users to define begin and end dates when creating customized reports.	
BR-968	Allow authorized users to enter special headings for data columns when creating customized reports.	
BR-969	Allow customized reports to be printed or saved electronically.	
BR-982	Allow the ability to calculate summary totals for records included in each report.	
B-281	**The proposed solution must provide the ability to maintain customized report templates.**	
BR-970	Allow authorized users to save report templates with a user defined name in user or group libraries.	
BR-971	Allow authorized users to delete report templates.	
B-282	**The proposed solution must provide the ability to add free-form text to a report.**	
BR-972	Prevent free-form text entry on specified reports.	
BR-973	Perform a spell check on free-form text entries.	
B-283	**The proposed solution must provide the ability to create reports utilizing specified reporting periods.**	
BR-974	Allow authorized users to specify reporting periods.	
B-284	**The proposed solution must provide the ability to report errors encountered during transaction processing.**	
BR-975	Provide information explaining the reasons for errors encountered during transaction processing.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-976	Allow users to view errors encountered during transaction processing.	
BR-977	Release errors for processing once errors have been corrected.	
BR-978	Identify conflicts such as timing or priority when a deduction is applied.	
BR-988	Display an error indicator or error message when transactions are not completed due to a transaction processing errors.	
B-285	**The proposed solution must provide the ability for reports to calculate formulas.**	
BR-979	Allow authorized users to modify report formulas.	
B-286	**The proposed solution must provide the ability to maintain standard reports.**	
BR-980	Generate a notice to the report requestor when a report request has failed.	
BR-982	Allow the ability to calculate summary totals for records included in each report.	
B-287	**The proposed solution must provide the ability to maintain report lists.**	
BR-981	List reports processed by CalPERS users, State employers and public agency employers.	
B-288	**The proposed solution must provide the ability to schedule reports to run at specific times.**	
BR-983	Prevent the scheduling of reports prior to the current date.	
B-289	**The proposed solution must provide the ability to create customized output.**	
BR-984	Prevent the generation of output for participants when their current address is noted as undeliverable.	
B-290	**The proposed solution must provide the ability to maintain standard output.**	
BR-985	Allow the generation of output based on processing triggers.	
B-291	**The proposed solution must provide the ability to validate information.**	
BR-1053	Identify discrepancies between information entered and information maintained in the solution.	
B-292	**The proposed solution must provide the ability to view all information.**	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-986	Prevent the display of information for external users until the user is authenticated by password validation.	
BR-987	Prevent the display of contact information for participants whose contact information is identified as protected.	
B-293	**The proposed solution must provide the ability to search all information using multiple search criteria.**	
BR-989	Allow for the searching of information using a combination of search criteria.	
B-294	**The proposed solution must provide the ability to maintain historical information.**	
BR-990	Prevent the deletion of historical information unless the information is archived.	
B-295	**The proposed solution must provide the ability to maintain translation codes.**	
BR-991	Allow changes to State Controllers Office translation codes.	
BR-992	Prevent the addition of a new translation code if that codes already exists.	
B-296	**The proposed solution must provide the ability to create file extracts.**	
BR-993	Allow extracts to be created using selection criteria specified by users.	
BR-994	Allow extracts to be created using predefined selection criteria.	
BR-995	Prevent the extraction of contact information for participants whose contact information is identified as protected.	
B-297	**The proposed solution must provide the ability to record information.**	
BR-996	Allow the online entry of transactions for authorized entities.	
B-298	**The proposed solution must provide the ability to trigger processing.**	
BR-997	Create a financial transaction when a warrant is returned.	
BR-999	Allow a hold to be placed on future warrants when a warrant is returned.	
BR-998	Allow a hold to be placed on future warrants when a warrant is returned.	
BR-1000	Create a workflow item for a returned warrant research.	
BR-1001	Create a workflow item for the overpayment recovery process.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-1002	Generate a notice to the cashier unit when a service credit purchase payment has been released from suspension.	
BR-1003	Generate a notice to an organization or payroll service vendor when a payroll submission report is ready for review.	
BR-1004	Generate an administrative-action-needed notice to CalPERS staff when a threshold number of delinquency notices have been sent to a participant.	
BR-1005	Create a compensation review workflow item when payroll parameters have been exceeded.	
B-299	**The proposed solution must provide the ability to maintain comments.**	
BR-1006	Prevent the deletion of comments.	
B-300	**The proposed solution must provide the ability to route information for further processing.**	
BR-1007	Create routing information for retirees detected on a new census.	
BR-1008	Create routing information based on request type.	
BR-1009	Create routing information based on hold type.	
B-301	**The proposed solution must provide the ability to track information related to case management.**	
BR-1010	Prevent the submittal of incomplete case management information.	
BR-1011	Track correspondence history throughout the duration of a contract.	
BR-1012	Create routing information for the aging of outstanding actions.	
BR-1013	Associate a participant to the documentation and case notes when a person submits a claim of entitlement.	
B-302	**The proposed solution must provide the ability to associate documents to an entity.**	
BR-1014	Allow the association of documents to an organization.	
BR-1015	Allow the association of documents to a participant record.	
B-303	**The proposed solution must provide the ability to create financial transactions for account changes.**	
BR-1016	Create financial transactions to reflect changes to an account.	
BR-1017	Allow the association of an accounts receivable to its corresponding record.	
BR-1018	Create a financial transaction when adjustments are made to accounts.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
BR-1019	Create a financial transaction when changes are made to receivable accounts.	
BR-1020	Create a financial transaction when interest changes.	
BR-1021	Create a summary of accounting entries for an employer receivable account.	
B-304	**The proposed solution must provide the ability for authorized users to submit information to CalPERS.**	
BR-1022	Prevent unauthorized users from submitting information to CalPERS.	
BR-1023	Apply the security controls that have been identified to a specific participant or organization when submitting information to CalPERS.	
BR-1024	Apply the security controls that have been identified to a specific business process and/or workflow item when submitting information to CalPERS.	
B-305	**The proposed solution must provide the ability to resume processing once corrections have been made.**	
BR-1025	Allow the processing of a partial data subset when it passes data edit checks.	
BR-1026	Create routing information for reprocessing corrected data.	
B-306	**The proposed solution must provide the ability to identify missing information needed to complete a process.**	
BR-1027	Generate a notice to staff and submitter of the missing information needed to complete a process.	
BR-1028	Create routing information based on the aging of outstanding information needed to complete a process.	
B-307	**The proposed solution must provide the ability to maintain an audit trail.**	
BR-1029	Identify data element changes by user.	
BR-1030	Identify anomalies in data elements or associations of data groups.	
BR-1031	Create audit research workflow item based on data anomalies identified.	
B-308	**The proposed solution must provide the ability to route information for approval.**	
BR-1032	Allow for multiple approval levels.	
BR-1033	Prevent routing to continue when approval conditions are not met.	
BR-1034	Create sequential or multiple parallel workflow items using case status.	
BR-1035	Allow the association of all case information to workflow items.	

Req #	Requirement	
Rule #	Key Business Rule	Program Specific
B-309	**The proposed solution must provide the ability to support multiple levels of security.**	
BR-1036	Prevent access to medical information by unauthorized users.	
BR-1037	Prevent modifications to protected addresses.	
B-310	**The proposed solution must provide the ability to hold processing.**	
BR-1038	Prevent unauthorized users from placing transactions on hold.	
BR-1039	Identify transactions that have been placed on hold.	
BR-1040	Allow transaction holds to be removed.	
B-311	**The proposed solution must provide the ability to maintain business rule parameters.**	
BR-1041	Allow business parameter code values to be added.	
BR-1042	Prevent duplicate active business rule parameters from being added.	
BR-1043	Allow business parameter code values to be added with a future effective date.	
B-312	**The proposed solution must provide the ability to randomly identify customers to survey.**	
BR-1044	Allow users to specify selection criteria used to identify customers.	
BR-1045	Allow users to specify number of customers to be identified.	
B-313	**The proposed solution must provide the ability to schedule customer service meetings via various methods.**	
BR-1046	Allow for specified times and dates to be unavailable for scheduling purposes.	
BR-1047	Allow the user to specify personnel to be included in the meetings.	
BR-1048	Allow the user to specify meeting locations and meeting agenda items.	
B-314	**The proposed solution must provide the ability to maintain menu information.**	
BR-1049	Prevent duplicate menu information from being entered.	
B-315	**The proposed solution must provide the ability to record correspondence history.**	
BR-1050	Enter all correspondence into the correspondence history log.	
BR-1051	Associate correspondence with the entity to which it belongs.	

Req #	**Requirement**	
Rule #	Key Business Rule	Program Specific
BR-1052	Prevent correspondence from being deleted.	